### 15-122: Principles of Imperative Computation, Spring 2024

Dragnamming Hamawark 10 fr 11. The COVM	10 40
Programming Homework 10 & 11: The C0VM	15 01
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<b>Due:</b> Thursday $4^{\text{th}}$ April, 2024 and	CF
Thursday $18^{\text{th}}$ April, 2024 by 9pm	BØ

In this assignment you will implement a virtual machine for C0, the C0VM. It has been influenced by the Java Virtual Machine (JVM) and the LLVM, a low-level virtual machine for compiler backends. We kept its definition much simpler than the JVM, following the design of C0. Bytecode verification, one of the cornerstones of the JVM design, fell victim to this simplification; in this way the machine bears a closer resemblance to the LLVM. It is a fully functional design and should be able to execute arbitrary C0 code.

The purpose of this assignment is to give you practice in writing C programs in the kind of application where C is indeed often used in practice. C is appropriate here because a virtual machine has to perform some low-level data and memory manipulation that is difficult to make simultaneously efficient and safe in a higher-level language. It should also help you gain a deeper understanding of how C0 (and, by extension, C) programs are executed.

Download the assignment handout from the course website or autolab. The file **README.txt** in the code handout goes over the contents of the handout and explains how to hand the assignment in. There is a TWENTY (20) PENALTY-FREE HANDIN LIMIT for the checkpoint, and a TWENTY (20) PENALTY-FREE HANDIN LIMIT for the full assignment. Every additional handin for each will incur a small (5%) penalty (even if using a late day). Your score for each part of this assignment (checkpoint and full) will be the score of your last autolab submission. The checkpoint is for Tasks 1, 2, and 3 only. This is far, far less than half the assignment.

After the checkpoint, you can no longer earn points for Tasks 1, 2 and 3, although the full autograder will continue to run tests against them. It will also run tests for Task 4, *but not for Task 5 until after the handin deadline has passed.* This means that you must do all your own testing for Task 5 and in order to earn full points you must convince yourself that you fully understand it and have tested it for correct behavior and for memory leaks where appropriate (you will see later in the writeup that certain memory leaks are unavoidable).

**About this writeup** The C0VM is defined in stages, and we have test programs which exercise only part of the specification. We strongly recommend that you construct your implementation following these stages and debug and test each stage (on your own *and* with the autograder) before moving on to the next. Each part has its own challenges, but each part should be relatively small and self-contained.

This document describes the structure of the C0VM first and then the instruction set (bytecodes) for the C0VM. After this, the document will describe the tasks you need to perform, step by step. Read this document very carefully as you prepare to do your work.

Notes:

- Differently from previous assignments, the starter code contains *object-code files* (ending in a .o extension) instead of C source files. To compile and run your code, you **must** be logged in on a **unix.andrew.cmu.edu** machine.
- This assignment will **not** be graded for style.

However you will still find it helpful to develop good style habits: reasonable contracts, at most 80-character lines, and comments that make it clear to a reader how your algorithm works and what invariants you expect to hold. You should use the libraries provided for you to make your code simpler and clearer. We expect you to write your own helper functions when appropriate. Bad style will have no direct effect on your grade but will make your life harder.

# 1 The Structure of the C0VM

Compiled code to be executed by the C0 virtual machine is represented in a byte code format, typically stored in a file ending in extension .bc0 which we call the *bytecode file*. This file contains numerical and string constants as well as byte code for the functions defined in the C0 source. The precise form of this file is specified in Appendix A and in lib/c0vm.h.

## 1.1 The Type c0\_value

C0 has so-called *small types* **int**, **bool**, **char**, **string**, **t[]**, and **t**\*. Values of these types can be passed to or from functions and held in variables. In the C0VM, we will represent each of these types in one of two ways: the *primitive types* are represented as 32-bit signed integers (which we will abbreviate as "**w32**") and the *reference types* are represented as void pointers (which we will abbreviate as "\*").

C0 type	C0VM type	C type
int	w32	int32_t
bool	w32	int32_t
char	w32	int32_t
t[]	*	void*
t*	*	void*

In lib/c0vm.h, we create a special type  $c0_value$  of C0 values. A  $c0_value$  can store *both* values of primitive type (which we write as x, i, or n) and values of reference or pointer type (which we write as a). We can turn primitive types into C0 values with the function int2val, and we can turn C0 values which we know to be primitive types back into integers with val2int. Similarly, ptr2val(x) and val2ptr(x) move between reference types (represented by void\*) and C0 values. We always have val2int(int2val(x)) == x for any integer x and val2ptr(ptr2val(a)) == a for any pointer a. There's a function val\_equal(v1,v2) which checks whether the two C0 values v1 and v2 are equal.

### 1.2 Runtime Data

The C0VM defines several types of data that are used during the execution of a program. You'll need to consider the first three of these (the operand stack, bytecode, and the program counter) to get started with Task 1.

The execute function you are extending in this assignment is passed a struct bc0\_file containing all the information from the bytecode. As you read this section, you should refer to both Appendix A and lib/c0vm.h where this struct is described.

### 1.2.1 The Operand Stack (S)

The C0VM is a *stack machine*, similar in design to Clac and the JVM. This means arithmetic operations and other instructions pop their operands from an operand stack and push their result back onto the operand stack.

The C0VM is defined in terms of operand stack S, a stack of C0 values. Because these values are such an important part of the VM, we define stacks that only contain C0 values in lib/c0v\_stack.h. We recommend writing some helper functions like push\_int(S, i) and pop\_ptr(S) early on so that you won't have to repetitively write c0v\_push(S, int2val(i)) and val2ptr(c0v\_pop(S)) over and over.

### 1.2.2 Bytecode (P)

In Clac, the program instructions were strings, and they were stored in a queue of strings. In the COVM, instructions for the current CO function are stored in an array of (unsigned) bytes (ubyte \*P). Each function in a compiled CO program is represented by a struct function\_info that is stored in the array bc0->function\_pool. The main() function that you want to run first is always stored as the first struct in this array, at index 0. When you start your VM, you should initialize P to be the bytecode code stored in that struct.

You don't ever need to allocate any memory to store bytecode. Just refer to the bytecode given to the **execute** function.

### 1.2.3 The Program Counter (pc)

The program counter **pc** holds the address of the program instruction currently being executed. It always starts at 0 when you begin executing a function. Unless a non-local transfer of control occurs (goto, conditional branch, function call or return), the program counter is incremented by the number of bytes in the current instruction before the next instruction is fetched and interpreted.

### 1.2.4 Local variables (V)

Locals should be stored in a  $c0_value$  array. Every struct function\_info has a field num\_vars which specifies how big this array needs to be in order to store all the locals of that function. The four components S, P, pc, and V are everything that we need to know in order to represent the current state of any function.

#### 1.2.5 The Call Stack

The C0VM has a call stack consisting of *frames*, each one containing local variables, a local operand stack, and a return address. The call stack grows when a function is called and shrinks when a function returns, deallocating the frame during the return.

Every frame consists of the four components that represent the current state of some function call that got interrupted in order to call another function. It contains the operand stack S for computing expression values, a pointer P to the function's byte code, a return address pc, which is the address of the next instruction in the interrupted function, and an array of locals V. At any point during the execution there is a *current frame* as well as a *calling frame*. The latter becomes the current frame when a function returns to its caller.

#### 1.2.6 Constant Pools

Numerical constants requiring more than 8 bits and all string constants occurring in the program will be allocated in constant pools, called the *integer pool* and the *string pool*. They never change during program execution.

#### 1.2.7 Function Pools

Functions, either C0 functions defined in a source file or library functions, are kept in pools called the *function pool* and the *native pool*, respectively. Functions in the function pool are stored with their bytecode instructions, while functions in the native pool store an index into a table of C function pointers that the C0VM implementation can dereference and invoke.

Both the function pool and the native pool are arrays of structs — not arrays to struct pointers. To access a field f of the i-th struct in the array A, you will need to write A[i].f — not A[i]->f.

#### 1.2.8 The Heap

At runtime, the C0 heap contains C0 strings, C0 arrays (t[]) and C0 cells (t\*). C0 arrays have to store size information so that dynamic array bounds checks can be performed. You will use the C heap to implement the C0 heap: that is, you will allocate strings, arrays, and cells directly using calls to **xmalloc** and **xcalloc** as defined earlier in this course.

Since C0 is designed as a garbage-collected language, you will not be able to free space allocated on behalf of C0 unless you are willing to implement (or use) a garbage collector. We do not view this as a memory leak of the C0VM implementation. On the other hand, temporary data structures required for the C0VM's own operation should be properly freed.

This means that when you are testing your own code using **valgrind**, you must be aware of which **bc0** files should be free of **valgrind** memory leak reports and which should produce reports of memory leaks. The autograder will not penalize **valgrind** reports of memory leaks for tests that allocate space on the C0 heap.

Note that the autograder will also not penalize for memory leaks for tests that are supposed to end in a runtime error.

### **1.3 Runtime Errors**

In order to fully capture the behavior of C0 programs, you must correctly issue errors for things like dereferencing NULL, indexing an array outside of its bounds, and dividing by zero. Check the C0 Language Reference for details on what kinds of errors you must handle, and then use the following provided functions (defined in c0vm\_abort.h and c0vm\_abort.c) to issue appropriate error messages:

```
void c0_user_error(char *err); // for calls to error() from C0
void c0_assertion_failure(char *err); // for failed assertions from C0
void c0_memory_error(char *err); // for memory-related errors
void c0_arith_error(char *err); // for arithmetic-related errors
```

For unexpected situations that arise while executing bytecode, situations which could indicate a bug in your VM, you may use the standard C library functions **abort** or **assert** to abort your program. See Section 3.3 for more details on this distinction.

# 1.4 Freeing Memory

You must free any memory that your C0VM allocates for its own use but not the memory allocated on behalf of the C0 program it's running.

There are three sources of memory leaks you don't need to solve:

- 1. new, where you allocate memory requested by the C0 program;
- 2. newarray, where you allocate the array header and the array itself for the C0 program;
- 3. invokenative as the native function may allocate memory for its own purposes.

Some C0 native functions allocate memory unbeknownst to you. For example, **readline** allocates memory to store the line it reads. You can't free this memory (you didn't actually allocate it — the native function allocated it), so it's fine to leak it.

# 2 Instruction Set

We group the instructions by type, in order of increasing complexity from the implementation point of view. We recommend implementing them in order and aborting with an appropriate message when an unimplemented instruction is encountered. Each task in this assignment corresponds to one or more sections, and tasks are summarized in Section 3.1.

# 2.1 Stack Manipulation (Task 1 — by checkpoint)

There are three instructions for direct stack manipulation without regard to types.

0x59	dup	S,	v -> S, v, v
0x57	рор	S,	v -> S
0x5F	swap	S,	v1, v2 -> S, v2, v1

### 2.2 Arithmetic Instructions (Task 1 — by checkpoint)

Arithmetic operations in C0 are defined using modular arithmetic based on a two's complement signed representation. This does not match your implementation language (C) very well, where the result of *signed* arithmetic overflow is undefined. There are two solutions to this problem: first, because *unsigned* arithmetic overflow is defined to be modular arithmetic, you could cast int32\_t values to uint32\_t, perform unsigned arithmetic, then cast back. This should not be required in your implementations, however: we will compile your code with the -fwrapv command-line argument that forces gcc to treat integer arithmetic to be defined as signed two's complement arithmetic. (Remember, however, that this is not part of the C standard.)

Casting signed integers to unsigned integers and/or using the -fwrapv command-line argument does not do everything that is needed to ensure C0 compliance, but it goes a long way. We recommend a careful reading of the arithmetic operations in the C0 Reference at https://c0.cs.cmu.edu/docs/c0-reference.pdf, as well as the notes on casting integers in C.

For this implementation strategy to be correct, it is important to verify that our C environment does indeed use a two's complement representation and that the C type of **int** has 32 bits. The provided **main** function (see the file **c0vm\_main.c**) performs these checks before starting the abstract machine and aborts the execution if necessary.

Another concern when implementing arithmetic operations in C is that a number of implicit conversions may occur when performing arithmetic on values of two different types — not always the conversions you want. We recommend that, when doing any sort of operation, the values on which you're operating have the same type — you should use explicit casts to ensure this.

In the instruction table below (and for subsequent tables), we use w32 for the type of primitive values and \* for the type of reference values. Each line has an opcode in hex notation, followed by the operation mnemonic, followed by the effect of the operation, first on the stack, then any other effect.

0x60 iadd	S, x:w32, y:w32 -> S, x+y:w32
0x7E iand	S, x:w32, y:w32 -> S, x&y:w32
0x6C idiv	S, x:w32, y:w32 -> S, x/y:w32
0x68 imul	S, x:w32, y:w32 -> S, x*y:w32
0x80 ior	S, x:w32, y:w32 -> S, x y:w32
0x70 irem 0x78 ishl 0x7A ishr	S, x:w32, y:w32 -> S, x%y:w32 S, x:w32, y:w32 -> S, x< <y:w32 S, x:w32, y:w32 -&gt; S, x&lt;&gt;y:w32 S, x:w32, y:w32 -&gt; S, x&gt;&gt;y:w32</y:w32 
0x64 isub	S, x:w32, y:w32 -> S, x-y:w32
0x82 ixor	S, x:w32, y:w32 -> S, x^y:w32

Safety violations in idiv, irem, ishr, and ishl can cause run-time errors (use the provided function c0\_arith\_error to generate a message). Please refer to the C0 language specification for important details.

We have omitted negation -x, which the compiler can simulate with 0-x, and bitwise negation -x, which the compiler can simulate with  $x^{(-1)}$ .

## 2.3 Constants (Tasks 1 and 2 — by checkpoint)

We can push constants onto the operand stack. There are three different forms: (1) a constant null which is directly coded into the instruction, (2) a small *signed* (byte-sized) constant <b> which is an instruction operand and must be sign-extended to 32 bits, and (3) a constant stored in the constant pool. For the latter, we distinguish constants of primitive type from those of reference type because they are stored in different pools.

The two constant loading instructions **ildc** and **aldc** take two unsigned bytes as operands, which must be combined into an unsigned integer index for the appropriate constant pool. The integer pool stores the constants directly, and the index given to **ildc** is an index into the integer pool. The string pool is one large array of character strings, each terminated by '0'. The index given to **aldc** indicates the position of the first character; its address is therefore of type **char**\* in C and understood by C as a string.

```
0x01 aconst_null S -> S, null:*
0x10 bipush <b> S -> S, x:w32 (x = (w32)b, sign extended)
0x13 ildc <c1,c2> S -> S, x:w32 (x = int_pool[(c1<<8)|c2])
0x14 aldc <c1,c2> S -> S, a:* (a = &string_pool[(c1<<8)|c2])</pre>
```

You will not be able to test **aldc** in any interesting way until you implement **athrow** or **assert** (see below).

### 2.4 Local Variables (Task 2 — by checkpoint)

We can move data generically between local variables and the stack, because all primitive types can fit into pointers or integers and so be stored as a  $c0_value$ . The instruction operand <i> is one byte following the opcode 0x15 or 0x36 in the instruction stream. Because this is the only way to access a local variable, each function can have at most 256 local variables, which includes the function arguments.

0x15 vload <i></i>	S -> S, V	(v = V[i])
0x36 vstore <i></i>	S, V -> S	(V[i] = v)

# 2.5 Assertions and Errors (Task 2 — by checkpoint)

The instruction **athrow** implements the C0 built-in **error(s)** which aborts execution with error message **s**. In the bytecode, this error message will be at the top of the stack — it will have been put there by **aldc** if it is a literal string.

The instruction **assert** implements all C0 contracts and assertions. You will be able to use its full potential only after you are done with Tasks 3 and 4. For now, you can test it with programs containing simple assertions like **assert(true)** or **//@assert false;** (remember to compile the latter with the flag -d).

```
0xBF athrowS, a:* -> S(c0_user_error(a))0xCF assertS, x:w32, a:* -> S(c0_assertion_failure(a) if x == 0)
```

## 2.6 Control Flow (Task 3 — by checkpoint)

Each instruction implicitly increments the program counter by the number of bytes making up the instruction. Control flow instructions change this by jumping to another instruction under certain conditions. The addressing is relative to the address of the branch instruction. The offset is a *signed* 16 bit integer that is given as a two-byte operand to the instruction. It must be signed so we can branch backwards in the program. Note that **if\_cmpeq** and **if\_cmpne** can be used to compare either integers or pointers for equality or inequality, whereas the other comparisons only make sense on integers. The **nop** "no-op" instruction has no effect.

```
0x00 nop S -> S
```

```
0x9F if_cmpeq <01,02> S, v1, v2 -> S (pc = pc+(01<<8|02) if v1 == v2)
0xA0 if_cmpne <01,02> S, v1, v2 -> S (pc = pc+(01<<8|02) if v1 != v2)
0xA1 if_icmplt <01,02> S, x:w32, y:w32 -> S (pc = pc+(01<<8|02) if x < y)
0xA2 if_icmpge <01,02> S, x:w32, y:w32 -> S (pc = pc+(01<<8|02) if x >= y)
0xA3 if_icmpgt <01,02> S, x:w32, y:w32 -> S (pc = pc+(01<<8|02) if x >= y)
0xA4 if_icmple <01,02> S, x:w32, y:w32 -> S (pc = pc+(01<<8|02) if x >= y)
0xA4 if_icmple <01,02> S, x:w32, y:w32 -> S (pc = pc+(01<<8|02) if x <= y)</pre>
```

# 2.7 Functions (Task 4)

Function calls come in two forms: invoking a C0 function defined in the same bytecode file and invoking a library function defined in C. In either case, their arguments are passed on the operand stack and consumed. The C0VM implementation must guarantee that the result is pushed onto the stack when the function returns.

### 2.7.1 Calling a User-defined Function

Function information is stored in the function pool, which is addressed by the instruction operand consisting of two bytes, which must be reconstituted into an *unsigned* 16 bit quantity indexing into the function pool.

When invoking a C0 function (instruction invokestatic), we have to preserve the state of the calling function so that we can resume it later: a pointer to its bytecode, its program counter pc as a return address, its current local variable array V and its current operand stack S. We store this information in a new *frame* which is then pushed onto a global call stack. Then we set the pc to the beginning of the code for the called function g, allocate a new array for its local variables, and initialize it with the function arguments from the old operand stack. We also create a new empty operand stack for use in the called function.

The main function always has index 0 in the function pool and takes 0 arguments. After reading the file, setting up appropriate data structures, etc, your COVM implementation should start executing byte code at the beginning of this function and at the end print the final value.

#### 2.7.2 Returning from a Function

When returning from a function, there should be a single value on the operand stack, the value to return from the caller. (This applies also for functions returning **void**: the bytecode produced by the compiler pushes a dummy value onto the operand stack to provide a uniform interface to functions.)

#### **0xB0** return ., v -> . (return v to caller)

When processing a **return** instruction we restore the pc, the local variable array V and the operand stack S from the last frame on the call stack. We also need to arrange that the return value is popped from the current operand stack and pushed onto the operand stack of the frame we return to. Some temporary data structures may need to be deallocated at this point.

The **return** instruction is partially implemented in the starter code. You will need to update it twice: first, you will need to free any memory you allocate for the C0VM's internal data structures; then, you will need to generalize it when you write **invokestatic**.

#### 2.7.3 Native Function Calls

Native function calls have the same form as C0 function calls, but the two-byte instruction argument indexes into the native pool, rather than the function pool.

The value native\_pool[c1<<8|c2] is a struct native\_info (defined in lib/c0vm.h and described in Appendix A), which contains two fields: num\_args, the number *n* of arguments that should be popped off the stack, and function\_table\_index, which is an index *i* into a separate runtime structure, the native\_function\_table (defined in lib/c0vm\_c0ffi.h). From that table you can retrieve the address of a native function *g*, a pointer to a function taking an array of c0\_value and returning a c0\_value.

In order to call this function you have to construct an array of length n and store arguments v1 through vn at indices 0 through n-1, and then invoke the function g on this array. The result has to be pushed back onto the operand stack.

Native function calls do not therefore involve explicitly managed stack frames. Of course, your abstract machine implementation is using the system stack, so when you call the library function, the library function also uses the system stack, rather than any stack managed explicitly by your virtual machine.

The mapping between native library functions and their indices into the native function table is given as a series of NATIVE\_\* macros in the file c0vm\_c0ffi.h. (You will not need to use these definitions in your C0VM implementation.)

# 2.8 Memory Allocation, Load, and Store (Task 5)

Besides function calls, the trickiest aspect of the C0VM implementation is the management of the C0 runtime heap. Your implementation should satisfy each C0 allocation request separately by allocating a sufficient amount of space on the C runtime heap, using C pointers to implement C0VM references. Your implementation of allocation must take care to initialize all memory requested by C0 to all zeros.

#### 2.8.1 Basic Allocation

The new  $\langle s \rangle$  instruction allocates s bytes of memory (s is an unsigned byte) and pushes the address of the newly allocated memory (a pointer) onto the stack.

**0xBB** new <s> S -> S, a:\* (\*a is now allocated, size <s>)

The data size <s> is computed statically by the C0 compiler. For example, the C0 expression **alloc(int)** would translate to **new 4**, while **alloc(struct b)** would translate to **new n**, where *n* is the size of a **struct b** in memory in bytes, which is always known at compile time.

#### 2.8.2 Accessing Memory

We read from and write to addresses in memory with the six instructions: imload and imstore for integers, amload and amstore for pointers, and cmload and cmstore for characters. For integers and pointers, we treat the value on the stack as an integer or a pointer and store that quantity directly. For characters, we have to be a bit more careful, because characters (which may take on the values 0-127 in C0) are stored as integer values on the stack. When we read a character out of memory we have to cast it to an integer, and when we write we do the opposite, masking the given value of type w32 to 7 bits.

```
0x2E imload
               S, a:* -> S, x:w32
                                   (x = *a, a != NULL, load 4 bytes)
0x4E imstore
               S, a:*, x:w32 -> S
                                     (*a = x, a != NULL, store 4 bytes)
               S, a:* -> S, b:*
                                     (b = *a, a != NULL, load address)
0x2F amload
               S, a:*, b:* -> S
                                    (*a = b, a != NULL, store address)
0x4F amstore
0x34 cmload
               S, a:* -> S, x:w32
                                     (x = (w32)(*a), a != NULL, load 1 byte)
0x55 cmstore
               S, a:*, x:w32 -> S
                                     (*a = x & 0x7f, a != NULL, store 1 byte)
```

For each operation, you must check that the address a of a load or store instruction is non-NULL and raise a memory error if a is NULL.

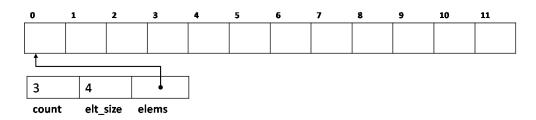
Most of the time, when we're reading and writing from memory in our C0 program, we're not using pointers directly to read (\*p) or write (\*p=...). Rather, we're reading from or writing to fields of structs (T->data=...) or elements of arrays (A[i]=...). In the C0VM, these two steps are split into different VM instructions for address arithmetic and loading from or storing to a computed address. This computation then gives us the actual address of the integer, pointer, or character stored within the array or struct, which we can access with the six instructions above.

There are two kinds of offset computations: one for arrays, and one for structs. When we access a struct's field, the compiler generates a field offset f (an unsigned one-byte quantity). The aaddf instruction is used to add f bytes to the pointer a at the top of the stack. If the address a is NULL, you must raise a memory error by calling the provided function  $c0\_memory\_error$ .

**0x62** aaddf <f> S, a:\* -> S, (a+f):\* (a != NULL; f field offset)

#### 2.8.3 Arrays

In order to talk about array offsets, we need to talk about how C0 arrays are represented at runtime. C0 arrays are represented as pointers to a struct<sup>1</sup>  $c0\_array$  that has three fields. The first, count, is the number of elements in the array, and is determined at runtime (this is n in the newarray instruction below). The second, elt\_size, represents the number of bytes in a single element; the compiler is able to determine this at compile time based on the type (this is s in the newarray instruction below).



The third field is **elems**, a **void** pointer to the beginning of the memory allocated for the actual array, which contains **n**\***s** bytes. The length of an array, stored in the **count** field, can be retrieved with the **arraylength** C0VM instruction. Every C0 array you encounter in the VM will be either a pointer to one of these structs or **NULL**. The C0VM uses **NULL** to represent a C0 array of length 0.

The picture above is an array of three objects with  $elt\_size$  of 4, perhaps a C0 integer. The third integer (array offset 2 in the C0 array) is stored in the bytes labeled 8-11. If we cast the void pointer in the elems field to a **char** pointer named **arr**, then the offset we should compute to access the third integer in the array is &arr[2\*4] — the address 8 bytes into the block of allocated memory (array offset 2 × 4 bytes per array element; recall that a char is defined to be one byte).

```
0xBC newarray <s> S, n:w32 -> S, a:* (a[0..n) now allocated)
0xBE arraylength S, a:* -> S, n:w32 (n = \length(a))
0x63 aadds S, a:*, i:w32 -> S, (a->elems+s*i):*
(a != NULL, 0 <= i < \length(a))</pre>
```

The newarray  $\langle s \rangle$  instruction allocates memory for an array containing n elements at size s. The size is computed by the compiler, while the array size is determined at runtime because it cannot in general be known at compile time. If n is negative, you should abort execution with the provided function  $c0\_memory\_error$ . The aadds instruction computes the address of an array element. The operand *a* on the stack must be the address of an array, and the operand *i* must be a valid index for this array. The CoVM must issue an error message and abort if *i* is not a valid index, which can be determined from the stored array length; use  $c0\_memory\_error$  to issue this error. We then use the element size *s* stored with the array to compute the address of the *i*th element. Note that one aadds instruction is necessary for every array access, even if we access the element at index 0. This is in contrast to structs! When we are accessing a field of a struct, we might be accessing the first field, in which case the address of the struct.

<sup>&</sup>lt;sup>1</sup>defined in lib/c0vm.h.

## 2.9 Generic Pointers (Bonus Task 6)

C1 extends C0 with generic pointers, whose type is **void**\* in C1. A C0 pointer can be cast to **void**\* and then back to its original pointer type (but no other pointer type). NULL can be cast freely.

To accommodate generic pointers in the C0VM, we introduce a type of *tagged pointers*, i.e., a pointer together with a *tag* representing the actual pointer type it has been cast to.

#### typedef struct c0\_tagged\_ptr\_header c0\_tagged\_ptr;

The compiler automatically maps types used in casts to 16-bit unsigned integers used as tags. Once cast, a generic pointer is represented in the COVM as a value of type c0\_tagged\_ptr\*. A generic pointer that has not been cast yet or that is equal to NULL has C type **void**\* in the COVM. See the header file lib/c0vm.h for details.

As tagged pointers can be pushed in the operand stack, stored in variables, etc, the helper functions tagged\_ptr2val and val2tagged\_ptr convert a tagged\_ptr\* to a c0\_value and vice versa. See the header file lib/c0vm.h for details.

Generic pointers are handled by the following three C0VM instructions. In their description, we write a:# if a is a tagged pointer. We also upgrade a:\* to describe a as either a C0 pointer or a tagged pointer.

addtag changes the (tagged or untagged) pointer at the top of the operand stack into a tagged pointer whose tag is given by the next two bytes. checktag expects a tagged pointer at the top of the stack. If its tag equals the next two bytes in the bytecode, it replaces it with the same pointer stripped of its tag. It fails otherwise. Finally, hastag checks that the tagged pointer at the top of the stack has the tag given by the next two bytes. If so, it replaces it with the integer 1, and with 0 otherwise. Write a few simple C1 programs that use generic pointers and observe how cc0 -b translates them. Note that this will generate bytcode files with extension .bc1.

# 2.10 Function Pointers (Bonus Task 6)

C1 extends C0 also with function pointers. The C0VM encodes a function pointer as a normal pointer. The header file lib/c0vm.h provides functions to obtain a function pointer given its pool index, and vice versa to learn the type (static — for user-defined functions — or native — for system library functions) and the pool index of a function given its function pointer.

Three instructions handle function pointers. **addrof\_static** pushes onto the operand stack a pointer to a static function. The next two bytes are the offset of this function in the function pool. **addrof\_native** does the same thing for native functions. Finally, **invokedynamic** calls a function pointer on its arguments. These instructions are specified as follows:

You will want to compile a few simple C1 programs that use function pointers with cc0 - b and scrutinize the resulting bytecode.

# 3 Programming Tasks and Coding Advice

There are many complexities in implementing a virtual machine, especially one that is rich enough so it can execute all of C0! Fortunately, some of the complexities (such as parsing the bytecode file) are taken care of by code we are providing, but others remain. You will complete the code in c0vm.c.

The following are suggested strategies to help you work effectively throughout this project.

# 3.1 Testing

We have provided a few test cases in tests/, but it is more effective to write your own. Write a small file, say test.c0 and compile it with cc0 -b test.c0, which will create a bytecode file test.bc0. Then run it with ./c0vmd test.bc0. Compare your answers with the ones you get with cc0 -x mytest.c0:

```
% cc0 -x tests/iadd.c0
-2
% cc0 -b tests/iadd.c0
% ./c0vmd tests/iadd.bc0
Opcode 10 -- Stack size: 0 -- PC: 0
Opcode 10 -- Stack size: 1 -- PC: 2
Opcode 60 -- Stack size: 2 -- PC: 4
Opcode b0 -- Stack size: 1 -- PC: 5
Returning -2 from execute()
-2
```

# 3.2 Incremental Implementation

Implement a subset of the instruction set and test your C0VM implementation on code that only uses the subset. Generate some test cases using  $cc\theta - b$  from simple C0 sources, or use some of the supplied examples that use limited instructions. You should recognize instructions that are valid but not in your subset and give a "not yet implemented" message and returning rather than aborting in the same way as for other errors. Test one stage thoroughly before moving on. After extending the machine, first make sure the old, simple examples still run correctly, a process called *regression testing*. The stages follow our discussion of the instruction set.

Task 1 (10 points) [See Sections 2.1 and 2.2]

Initialize the variables S, P and pc correctly in the execute function in the c0vm.c file.

Add code to handle arithmetic instructions, plus **bipush**, **swap**, and **return**. (The return instruction is mostly implemented, but needs to be checked for memory leaks.) C0 programs with only a **main** function returning an expression made of small constants can be used to test these capabilities, e.g.,

```
int main() {
    return 15 * ((1<<10) - 24) + 122;
}</pre>
```

Task 2 (8 points) [See Sections 2.3, 2.4 and 2.5]

Add code to deal with local variables, constants and assertions; you'll need to initialize the variable V to something better than NULL. C0 source files containing straight-line code using variables and large constants can be used to test these capabilities, e.g.,

```
int main() {
    int x = 15122;
    assert(true);
    int y = x * x;
    return y;
}
```

```
Task 3 (7 points) [See Section 2.6]
```

Add code to handle **goto** and conditionals (e.g., **if\_icmpge**). Now you should be able to execute loops, as in

```
int main () {
    int i; int sum = 0;
    for (i = 15; i <= 122; i++)
        sum += i;
    return sum;
}</pre>
```

#### CHECKPOINT

```
Task 4 (10 points) [See Section 2.7]
```

Add function calls (invokestatic, invokenative); you'll probably want to initialize the variable callStack to something better than NULL and use callStack to manage the call stack in some form. You will also need to revisit return. You may want to focus on ordinary C0 function calls (invokestatic, return) before moving on to native function calls (invokenative).

Now your **main** function can call auxiliary functions, such as the ubiquitous recursive factorial function, and library functions that print output:

#use <conio>

```
int factorial(int n) {
  return n == 0 ? 1 : n * factorial(n-1);
}
int main () {
  printint(factorial(15));
  println(" is the factorial of 15");
  return 0;
}
```

**Task 5** (15 points) [See Section 2.8]

Add the C0 heap, where arrays and structs are allocated. After this, you should be able to run arbitrary C0 code, including your own C0 assignments.

**Task 6** (3 bonus points) [See Sections 2.9 and 2.10]

Add support for the C1 constructs, namely generic pointers and function pointers. After this, you should be able to run arbitrary C1 code, including your own C1 assignments.

## 3.3 Assertions

Ideally, we would establish invariants of the bytecode that we read from a file to make sure no runtime memory or type error occurs. In the JVM this is referred to as *bytecode verification*. Unfortunately, the current bytecode format does not provide enough information to do this. Even if it did, it would be a major project in itself. So you have to fall back on dynamic checks. These checks come in two categories:

- 1. The usual checks on the runtime structure of your own code, verifying that pointers are not  $\mathsf{NULL},$  etc.
- 2. Checks that the C0 bytecode you have read in behaves properly.

Some of the checks in the second category are mandated:

- (a) The C0 program must not dereference the C0  $\mathsf{NULL}$  pointer or perform pointer arithmetic on it.
- (b) The C0 program must not access memory outside the bounds of a C0 array.
- (c) The C0 program must not perform illegal integer division (division by 0, or the min int divided by -1).
- (d) The C0 program must not shift left or right by a number < 0 or  $\geq 32$ .

If you encounter these runtime errors, you should produce error messages using the provided functions

- void c0\_memory\_error(char \*err) for memory-related errors
- void c0\_arith\_error(char \*err) for division or shift-related errors

By calling these functions, which are declared in  $c0vm\_abort.h$ , you make it clear that this is a runtime error in the bytecode you are executing and not a bug in your machine.

The first category of checks should in principle be redundant. For example, the **cc0** compiler should never produce a bytecode file that jumps to an invalid address. Nevertheless, bytecode written by hand or a bug in the **cc0** compiler or your VM could lead to such issues. Since C does not guarantee detection of such incorrect jumps or accesses, your code should do that using appropriate **assert** statements, or **ASSERT**, **REQUIRES**, and **ENSURES**. Then, if the bytecode itself or your virtual machine implementation has a bug, it will be discovered as soon as an unexpected incorrect behavior occurs. The macro annotations are recommended so that there is no undue overhead for correct code when your machine has been debugged.

### 3.4 Manage Your Time Well

Remember that this homework is worth **50 points**, and the last three tasks are *much* more difficult than the first two. You should plan on working on this for a few hours every day, so you can ask for help early on if you need it. **Don't wait until the last few days!** Post general questions on Piazza (e.g., questions about the C0VM specification, wording of tasks, requirements for handin, etc).

# A Bytecode File Format

The bytecode file, usually with extension .bc0, is produced by the cc0 compiler when invoked with the -b or -bytecode flag. In order to allow you to easily read bytecode, and also write your own bytecode, the binary file is coded in hexadecimal form, where two-digit bytes are separated by whitespace. In addition, the file may contain comments starting with '#' and extending to the end of the line.

We describe the format as pseudo-structs, where we use the types described below. For multi-byte types, each byte is given separately by two hexadecimal digits, with the most significant byte first.

- u4 4 byte unsigned integer
- u2 2 byte unsigned integer
- u1 1 byte unsigned integer
- i4 4 byte signed (two's complement) integer
- fi struct function\_info, defined below
- ni struct native\_info, defined below

The size of some arrays is variable, depending on earlier fields. These are only arrays conceptually, of course. In the file, all the information is just stored as sequences of bytes separated by whitespace.

```
struct bc0_file {
 u4 magic;
                                     // magic number, always 0xc0c0ffee
                                     // version number and architecture
 u2 version+arch;
  u2 int_count;
                                     // number of integer constants
  i4 int_pool[int_count];
                                     // integer constants
  u2 string_count;
                                     // number of characters in string pool
  u1 string_pool[string_count];
                                     // adjacent '\0'-terminated strings
  u2 function_count;
                                     // number of functions
  fi function_pool[function_count]; // function info
 u2 native_count;
                                     // number of native (library) functions
 ni native_pool[native_count];
                                     // native function info
};
struct function_info {
                                     // number of arguments, V[0..num_args)
 u2 num_args;
 u2 num_vars;
                                     // number of variables, V[0..num_vars)
 u2 code_length;
                                     // number of bytes of bytecode
  ul code[code_length];
                                     // bytecode
};
struct native_info {
                                    // number of arguments, V[0..num_args)
 u2 num_args;
  u2 function_table_index;
                                    // index into table of library functions
};
```

We are providing code that reads bytecode files and marshals the information into similar internal C structures.

# **B** C0VM Instruction Reference

What follows is a reference for the C0VM bytecode, which is also given as part of the handout (covm-ref.txt). Every line that describes an operation has the following format:

#### **0xYZ omne** S -> S' (other effect)

where  $\Theta x Y Z$  is the opcode in hex, omne is the operation mnemonic, and the remaining text describes the effect of the operation. The description includes the effect on the stack (e.g. transform stack S into stack S') and any other effects (e.g. modify the program counter).

COVM Instruction Reference

```
S = operand stack
V = local variable array, V[0..num_vars)
Instruction operands:
<i> = local variable index (unsigned)
<b> = byte (signed)
<s> = element size in bytes (unsigned)
<f> = field offset in struct in bytes (unsigned)
<c> = <c1, c2> = pool index = (c1<<8|c2) (unsigned)
<0> = <01,02> = pc offset = (01<<8|02) (signed)
Stack operands:
x, i, n : w32 = 32 bit word representing an int, bool, or char ("primitive")
              = tagged pointer
a : #
              = address ("reference"), possibly tagged
a : *
              = arbitrary value -- v:* or v:w32 or v:#
v
Stack operations
0x57 pop
                       S, v -> S
                       S, v -> S, v, v
0x59 dup
0x5F swap
                       S, v1, v2 -> S, v2, v1
Arithmetic
0x60 iadd
                       S, x:w32, y:w32 -> S, x+y:w32
0x64 isub
                       S, x:w32, y:w32 -> S, x-y:w32
0x68 imul
                       S, x:w32, y:w32 -> S, x*y:w32
0x6C idiv
                       S, x:w32, y:w32 -> S, x/y:w32
0x70 irem
                       S, x:w32, y:w32 -> S, x%y:w32
0x7E iand
                       S, x:w32, y:w32 -> S, x&y:w32
                       S, x:w32, y:w32 -> S, x|y:w32
0x80 ior
0x82 ixor
                       S, x:w32, y:w32 -> S, x^y:w32
0x78 ishl
                       S, x:w32, y:w32 -> S, x<<y:w32
0x7A ishr
                       S, x:w32, y:w32 -> S, x>>y:w32
Constants
                       S -> S, x:w32
0x10 bipush <b>
                                             (x = (w32)b, sign extended)
0x13 ildc <c1,c2>
                       S -> S, x:w32
                                             (x = int_pool[(c1<<8)|c2])</pre>
```

```
0x14 aldc <c1,c2>
                       S -> S, a:*
                                             (a = \&string_pool[(c1 << 8)|c2])
0x01 aconst_null
                       S -> S, null:*
Local Variables
0x15 vload <i>
                       S -> S, V
                                            (v = V[i])
                       S, v -> S
0x36 vstore <i>
                                             (V[i] = v)
Assertions and errors
0xBF athrow
                       S, a:* -> S
                                            (c0_user_error(a))
0xCF assert
                       S, x:w32, a:* -> S (c0_assertion_failure(a) if x == 0)
Control Flow
0x00 nop
                       S -> S
0x9F if_cmpeq <01,02> S, v1, v2 -> S (pc = pc+(01<<8|02) if v1 == v2)
0xA0 if_cmpne <01,02> S, v1, v2 -> S (pc = pc+(01<<8|02) if v1 != v2)</pre>
0xA1 if_icmplt <o1,o2> S, x:w32, y:w32 -> S (pc = pc+(o1<<8|o2) if x < y)
0xA2 if_icmpge <o1,o2> S, x:w32, y:w32 -> S (pc = pc+(o1<<8|o2) if x >= y)
0xA3 if_icmpgt <o1,o2> S, x:w32, y:w32 -> S (pc = pc+(o1<<8|o2) if x > y)
0xA4 if_icmple <o1,o2> S, x:w32, y:w32 -> S (pc = pc+(o1<<8|o2) if x <= y)
0xA7 goto <01,02> S -> S
                                            (pc = pc+(o1 << 8|o2))
Functions
0xB8 invokestatic <c1,c2> S, v1, v2, ..., vn -> S, v
                                     (function_pool[c1 << 8|c2] => q, q(v1,...,vn) = v)
0xB0 return
                                             (return v to caller)
                            ., v -> .
0xB7 invokenative <c1,c2> S, v1, v2, ..., vn -> S, v
                                        (native_pool[c1<<8|c2] => g, g(v1,...,vn) = v)
Memory
                       S -> S, a:*
0xBB new <s>
                                            (*a is now allocated, size <s>)
0x2E imload
                       S, a:* -> S, x:w32 (x = *a, a != NULL, load 4 bytes)
                       S, a:*, x:w32 -> S
                                             (*a = x, a != NULL, store 4 bytes)
0x4E imstore
                       S, a:* -> S, b:*
                                             (b = *a, a != NULL, load address)
0x2F amload
0x4F amstore
                       S, a:*, b:* -> S
                                             (*a = b, a != NULL, store address)
0x34 cmload
                       S, a:* -> S, x:w32
                                             (x = (w32)(*a), a != NULL, load 1 byte)
0x55 cmstore
                       S, a:*, x:w32 -> S
                                             (*a = x & 0x7f, a != NULL, store 1 byte)
                       S, a:* -> S, (a+f):* (a != NULL; f field offset in bytes)
0x62 aaddf <f>
0xBC newarray <s>
                       S, n:w32 -> S, a:*
                                             (a[0..n) now allocated,
                                              each array element has size <s>)
0xBE arraylength
                       S, a:* -> S, n:w32 (n = \length(a))
0x63 aadds
                       S, a:*, i:w32 -> S, (a->elems+s*i):*
                                             (a != NULL, 0 <= i < \length(a))
C1
0xC0 checktag <c1,c2> S, a:# -> S, a:* (c0_memory_error() if a != NULL and has tag
                                            other than c1 << 8|c2)
0xC1 hastag <c1,c2> S, a:# -> S, x:w32 (x = (w32)0 if a != NULL and has tag
                                            other than c1 << 8|c2)
                                           (x = (w32)1 \text{ otherwise})
```

```
0xC2 addtag <c1,c2> S, a:* -> S, a:# (a:# has tag c1<<8|c2)
0x16 addrof_static <c1,c2> S -> S, a:* (a = function pointer for static at c1<<8|c2)
0x17 addrof_native <c1,c2> S -> S, a:* (a = function pointer for native at c1<<8|c2)
0xB6 invokedynamic S, v1, v2, ..., vn, a:* -> S, v
(g = function a points to, g(v1,...,vn) = v)
```