Introduction to Computer Systems

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Today

- Threads: basics
- Synchronization
- Races, deadlocks, thread safety

Process: Traditional View

Process = process context + code, data, and stack



Process: Alternative View

Process = thread + code, data, and kernel context



Process with Two Threads







Threads vs. Processes

Threads and processes: similarities

- Each has its own logical control flow
- Each can run concurrently with others
- Each is context switched (scheduled) by the kernel

Threads and processes: differences

- Threads share code and data, processes (typically) do not
- Threads are much less expensive than processes
 - Process control (creating and reaping) is more expensive as thread control
 - Context switches for processes much more expensive than for threads

Threads vs. Processes (contd.)

- Processes form a tree hierarchy
- Threads form a pool of peers
- Each thread can kill any other
- Each thread can wait for any other thread to terminate
- Main thread: first thread to run in a process



Posix Threads (Pthreads) Interface

Pthreads: Standard interface for ~60 functions that manipulate threads from C programs

- Threads run thread routines:
 - void *threadroutine(void *vargp)
- Creating and reaping threads
 - pthread_create(pthread_t *tid, ..., func *f, void *arg)
 - pthread_join(pthread_t tid, void **thread_return)
- Determining your thread ID
 - pthread_self()
- Terminating threads
 - pthread_cancel(pthread_t tid)
 - pthread_exit(void *tread_return)
 - **return** (in primary thread routine terminates the thread)
 - exit() (terminates all threads)
- Synchronizing access to shared variables

The Pthreads "Hello, world" Program



Detaching Threads

- Thread-based servers: Use "detached" threads to avoid memory leaks
 - At any point in time, a thread is either *joinable* or *detached*
 - Joinable thread can be reaped and killed by other threads
 - must be reaped (with pthread_join) to free memory resources
 - Detached thread cannot be reaped or killed by other threads
 - resources are automatically reaped on termination
 - Default state is joinable
 - use pthread_detach(pthread_self()) to make detached

Must be careful to avoid unintended sharing

- For example, what happens if we pass the address of connfd to the thread routine?
 - Pthread_create(&tid, NULL, thread, (void *)&connfd);

Pros and Cons of Thread-Based Designs

- + Easy to share data structures between threads
 - e.g., logging information, file cache
- + Threads are more efficient than processes
- Unintentional sharing can introduce subtle and hard-to-reproduce errors!
 - The ease with which data can be shared is both the greatest strength and the greatest weakness of threads

Today

- Threads: basics
- Synchronization
- Races, deadlocks, thread safety

Shared Variables in Threaded C Programs

Question: Which variables in a threaded C program are shared variables?

 The answer is not as simple as "global variables are shared" and "stack variables are private"

Requires answers to the following questions:

- What is the memory model for threads?
- How are variables mapped to each memory instance?
- How many threads might reference each of these instances?

Threads Memory Model

Conceptual model:

- Multiple threads run within the context of a single process
- Each thread has its own separate thread context
 - Thread ID, stack, stack pointer, program counter, condition codes, and general purpose registers
- All threads share the remaining process context
 - Code, data, heap, and shared library segments of the process virtual address space
 - Open files and installed handlers

Operationally, this model is not strictly enforced:

- Register values are truly separate and protected, but
- Any thread can read and write the stack of any other thread

Mismatch between the conceptual and operation model is a source of confusion and errors

Thread Accessing Another Thread's Stack

}

```
char **ptr; /* global */
int main()
Ł
    int i;
    pthread_t tid;
    char *msqs[2] = \{
        "Hello from foo",
        "Hello from bar"
    };
    ptr = msqs;
    for (i = 0; i < 2; i++)
        Pthread create(&tid,
            NULL,
            thread,
            (void *)i);
    Pthread exit(NULL);
```

```
/* thread routine */
void *thread(void *vargp)
{
    int myid = (int) vargp;
    static int svar = 0;
    printf("[%d]: %s (svar=%d)\n",
        myid, ptr[myid], ++svar);
```

Peer threads access main thread's stack indirectly through global ptr variable

Mapping Variables to Memory Instances



Shared Variable Analysis

Which variables are shared?

Variable instance	Referenced by main thread?	Referenced by peer thread 0?	Referenced by peer thread 1?
ptr	yes	yes	yes
svar	no	yes	yes
i.m	yes	no	no
msgs.m	yes	yes	yes
myid.p0	no	yes	no
myid.p1	no	no	yes

Answer: A variable x is shared iff multiple threads reference at least one instance of x. Thus:

- ptr, svar, and msgs are shared
- i and myid are not shared

badcnt.c: Improper Synchronization

```
/* shared */
volatile unsigned int cnt = 0;
#define NITERS 10000000
int main() {
   pthread t tid1, tid2;
    Pthread_create(&tid1, NULL,
                   count, NULL);
   Pthread create (&tid2, NULL,
                   count, NULL);
    Pthread join(tid1, NULL);
    Pthread join(tid2, NULL);
    if (cnt != (unsigned)NITERS*2)
        printf("BOOM! cnt=%d\n",
                cnt);
    else
        printf("OK cnt=%d\n",
                cnt);
```

```
/* thread routine */
void *count(void *arg) {
    int i;
    for (i=0; i<NITERS; i++)
        cnt++;
    return NULL;
}</pre>
```

```
linux> ./badcnt
BOOM! cnt=198841183
```

```
linux> ./badcnt
BOOM! cnt=198261801
```

```
linux> ./badcnt
BOOM! cnt=198269672
```

cnt should be equal to 200,000,000. What went wrong?

Assembly Code for Counter Loop

C code for counter loop in

thread i

for (i=0; i<NITERS; i++)
 cnt++;</pre>



Concurrent Execution

Key idea: In general, any sequentially consistent interleaving is possible, but some give an unexpected result!

OK

- I_i denotes that thread i executes instruction I
- %eax, is the content of %eax in thread i's context

i (thread) instr_i %eax₁ %eax₂ cnt H. 0 0 0 1 U 0 S. 1 1 2 H₂ 2 1 2 2 U, 2 S₂ 2 2 2 2 2 T, 2 T. 1

Concurrent Execution (cont)

Incorrect ordering: two threads increment the counter, but the result is 1 instead of 2

i (thread)	instr _i	%eax ₁	%eax ₂	cnt	
1	H ₁	-	-	0	
1	L,	0	-	0	
1	U₁	1	-	0	
2	H ₂	-	-	0	
2	L,	-	0	0	
1	S₁	1	-	1	
1	T₁	1	-	1	
2	U_2	-	1	1	
2	S ₂	-	1	1	
2	Τ,	-	1	1	Oops

Concurrent Execution (cont)

How about this ordering?

i (thread)) instr _i	%eax ₁	%eax ₂	cnt
1	H ₁			
1	L			
2	H ₂			
2	L,			
2	U ₂			
2	S ₂			
1	U ₁			
1	S ₁			
1	T ₁			
2	T_2			

We can analyze the behaviour using a process graph

Progress Graphs

Thread 2



A *progress graph* depicts the discrete *execution state space* of concurrent threads.

Each axis corresponds to the sequential order of instructions in a thread.

Each point corresponds to a possible *execution state* $(Inst_1, Inst_2)$.

E.g., (L_1, S_2) denotes state where thread 1 has completed L_1 and thread 2 has completed S_2 .

Trajectories in Progress Graphs



A *trajectory* is a sequence of legal state transitions that describes one possible concurrent execution of the threads.

Example:

H1, L1, U1, H2, L2, S1, T1, U2, S2, T2

Critical Sections and Unsafe Regions



L, U, and S form a critical section with respect to the shared variable cnt

Instructions in critical sections (wrt to some shared variable) should not be interleaved

Sets of states where such interleaving occurs form *unsafe regions*

Critical Sections and Unsafe Regions



Definition: A trajectory is **sa** iff it does not enter any unsa region

Claim: A trajectory is correct (wrt cnt) iff it is safe

Semaphores

- *Question:* How can we guarantee a safe trajectory?
 - We must synchronize the threads so that they never enter an unsafe state.
- Classic solution: Dijkstra's P and V operations on semaphores
 - Semaphore: non-negative global integer synchronization variable
 - P(s): [while (s == 0) wait(); s--;]
 - Dutch for "Proberen" (test)
 - V(s): [**s++;**]
 - Dutch for "Verhogen" (increment)
 - OS guarantees that operations between brackets [] are executed indivisibly
 - Only one P or V operation at a time can modify s.
 - When while loop in P terminates, only that P can decrement

badcnt.c: Improper Synchronization

```
/* shared */
volatile unsigned int cnt = 0;
#define NITERS 10000000
int main() {
   pthread t tid1, tid2;
   Pthread_create(&tid1, NULL,
                   count, NULL);
   Pthread create (&tid2, NULL,
                   count, NULL);
   Pthread join(tid1, NULL);
    Pthread join(tid2, NULL);
    if (cnt != (unsigned)NITERS*2)
        printf("BOOM! cnt=%d\n",
                cnt);
    else
        printf("OK cnt=%d\n",
                cnt);
```

```
/* thread routine */
void *count(void *arg) {
    int i;
    for (i=0; i<NITERS; i++)
        cnt++;
    return NULL;
}</pre>
```

How to fix using semaphores?

Safe Sharing with Semaphores

- One semaphore per shared variable
- Initially set to 1
- Here is how we would use P and V operations to synchronize the threads that update cnt

```
/* Semaphore s is initially 1 */
/* Thread routine */
void *count(void *arg)
{
    int i;
    for (i=0; i<NITERS; i++) {
        P(s);
        cnt++;
        V(s);
    }
    return NULL;
}</pre>
```

Safe Sharing With Semaphores

Thread 2



Provide mutually exclusive access to shared variable by surrounding critical section with P and V operations on semaphore s (initially set to 1)

Semaphore invariant creates a *forbidden region* that encloses unsafe region and is entered by any trajectory

Wrappers on POSIX Semaphores

```
/* Initialize semaphore sem to value */
/* pshared=0 if thread, pshared=1 if process */
void Sem_init(sem_t *sem, int pshared, unsigned int value) {
  if (sem_init(sem, pshared, value) < 0)</pre>
    unix_error("Sem_init");
}
/* P operation on semaphore sem */
void P(sem t *sem) {
  if (sem wait(sem))
    unix_error("P");
}
/* V operation on semaphore sem */
void V(sem t *sem) {
  if (sem_post(sem))
    unix_error("V");
}
```

Sharing With POSIX Semaphores

```
/* properly sync'd counter program */
#include "csapp.h"
#define NITERS 10000000
```

```
int main() {
    pthread_t tid1, tid2;
```

. . .

```
Sem_init(&sem, 0, 1); /* sem=1 */
```

```
/* create 2 threads and wait */
```

```
if (cnt != (unsigned)NITERS*2)
    printf("BOOM! cnt=%d\n", cnt);
else
    printf("OK cnt=%d\n", cnt);
exit(0);
```

```
/* thread routine */
void *count(void *arg)
{
    int i;
    for (i=0; i<NITERS; i++) {
        P(&sem);
        cnt++;
        V(&sem);
    }
    return NULL;
}</pre>
```

```
Warning:
It's really slow!
```

Today

- Threads: basics
- Synchronization
- Races, deadlocks, thread safety

One worry: races

A race occurs when correctness of the program depends on one thread reaching point x before another thread

```
aaahaa naint v
/* a threaded program with a race */
int main() {
   pthread_t tid[N];
   int i;
    for (i = 0; i < N; i++)
        Pthread_create(&tid[i], NULL, thread, &i);
    for (i = 0; i < N; i++)
       Pthread_join(tid[i], NULL);
    exit(0);
}
/* thread routine */
void *thread(void *vargp) {
    int myid = *((int *)vargp);
    printf("Hello from thread %d\n", myid);
    return NULL;
```

Race Elimination

Make sure don't have unintended sharing of state

```
/* a threaded program with a race */
int main() {
   pthread_t tid[N];
    int i;
    for (i = 0; i < N; i++) {
        int *valp = malloc(sizeof(int));
        *valp = i;
        Pthread_create(&tid[i], NULL, thread, valp);
    for (i = 0; i < N; i++)
        Pthread_join(tid[i], NULL);
    exit(0);
}
/* thread routine */
void *thread(void *vargp) {
    int myid = *((int *)vargp);
    free(varqp);
    printf("Hello from thread %d\n", myid);
    return NULL;
```

Another worry: Deadlock

Processes wait for condition that will never be true

Typical Scenario

- Processes 1 and 2 needs two resources (A and B) to proceed
- Process 1 acquires A, waits for B
- Process 2 acquires B, waits for A
- Both will wait forever!

Tid[1]

Deadlocking With POSIX

Comonhoroo

int main()	
{	
<pre>pthread_t tid[2];</pre>	
<pre>Sem_init(&mutex[0], 0, 1); /* mutex</pre>	[0] = 1 * /
<pre>Sem_init(&mutex[1], 0, 1); /* mutex</pre>	[1] = 1 */
Pthread_create(&tid[0], NULL, count,	(void*) 0);
Pthread_create(&tid[1], NULL, count,	(void*) 1);
<pre>Pthread_join(tid[0], NULL);</pre>	
<pre>Pthread_join(tid[1], NULL);</pre>	
<pre>printf("cnt=%d\n", cnt);</pre>	
exit(0);	
}	
void *count(void *vargp)	
{	T : 1503
int i;	110[0]
int id = (int) vargp:	:
(, , , , , , , , , , , , , , ,	$\mathbf{D}(z)$

V(&mutex[id]); V(&mutex[1-id]); ;	P(s ₁); ent++	P(s ₀); cnt++
<pre>} return NULL; V</pre>	/(s ₀); /(s ₄):	; V(s ₁); V(s ₂):

Deadlock Visualized in Progress Graph



Locking introduces the potential for *deadlock:* waiting for a condition that will never be true

Any trajectory that enters the *deadlock region* will eventually reach the *deadlock state*, waiting for either S_0 or S_1 to become nonzero

Other trajectories luck out and skirt the deadlock region

Unfortunate fact: deadlock is often non-deterministic

Avoiding Deadlock cquire shared resources in same order

```
int main()
{
    pthread_t tid[2];
    Sem_init(&mutex[0], 0, 1); /* mutex[0] = 1 */
    Sem_init(&mutex[1], 0, 1); /* mutex[1] = 1 */
    Pthread_create(&tid[0], NULL, count, (void*) 0);
    Pthread_create(&tid[1], NULL, count, (void*) 1);
    Pthread_join(tid[0], NULL);
    Pthread_join(tid[1], NULL);
    printf("cnt=%d\n", cnt);
    exit(0);
}
```

void *count(void *vargp)

```
{
    int i;
    int id = (int) vargp;
    for (i = 0; i < NITERS; i++) {
        P(&mutex[0]); P(&mutex[1]);
        cnt++;
        V(&mutex[id]); V(&mutex[1-id]);
    }
    return NULL;
}</pre>
```

Tid[0]	Tid[1]
:	:
P(s0)	P(s0)
;	•
P(s1)	P(s1)
•	,
cnt++	cnt++
; V(s0)	; V(s1)

Avoided Deadlock in Progress Graph



No way for trajectory to get stuck

Processes acquire locks in same order

Order in which locks released immaterial

Crucial concept: Thread Safety

- Functions called from a thread (without external synchronization) must be *thread-safe*
 - Meaning: it must always produce correct results when called repeatedly from multiple concurrent threads

Some examples of thread-unsafe functions:

- Failing to protect shared variables
- Relying on persistent state across invocations
- Returning a pointer to a static variable
- Calling thread-unsafe functions

Thread-Unsafe Functions (Class 1)

Failing to protect shared variables

- Fix: Use P and V semaphore operations
- Example: goodcnt.c
- Issue: Synchronization operations will slow down code
 - e.g., badcnt requires 0.5s, goodcnt requires 7.9s

Thread-Unsafe Functions (Class

2) Relying on persistent state across multiple function invocations

```
Example: Random number generator (RNG) that relies on static
/* rand: return pseudo-random integer on 0..32767 */
static unsigned int next = 1;
int rand(void)
{
    next = next*1103515245 + 12345;
    return (unsigned int) (next/65536) % 32768;
}
/* srand: set seed for rand() */
void srand(unsigned int seed)
{
    next = seed;
}
```

Making Thread-Safe RNG

Pass state as part of argument

and, thereby, eliminate static state

```
/* rand - return pseudo-random integer on 0..32767 */
int rand_r(int *nextp)
{
    *nextp = *nextp*1103515245 + 12345;
    return (unsigned int)(*nextp/65536) % 32768;
}
```

Consequence: programmer using rand must maintain seed

Thread-Unsafe Functions (Class

Beturning a ptr to a static variable

Fixes:

- 1. Rewrite code so caller passes pointer to struct
 - Issue: Requires changes in caller and callee
- 2. Lock-and-copy
 - Issue: Requires only simple changes in caller (and none in callee)
 - However, caller must free memory

```
struct hostent
*gethostbyname(char name)
{
   static struct hostent h;
   <contact DNS and fill in h>
   return &h;
```

hostp = Malloc(...);
gethostbyname_r(name, hostp);

```
struct hostent
*gethostbyname_ts(char *name)
{
   struct hostent *q = Malloc(...);
   struct hostent *p;
   P(&mutex); /* lock */
   p = gethostbyname(name);
   *q = *p; /* copy */
   V(&mutex);
   return q;
}
```

Thread-Unsafe Functions (Class 4)

Calling thread-unsafe functions

- Calling one thread-unsafe function makes the entire function that calls it thread-unsafe
- Fix: Modify the function so it calls only thread-safe functions ③

Thread-Safe Library Functions

- All functions in the Standard C Library (at the back of your K&R text) are thread-safe
 - Examples: malloc, free, printf, scanf
- Most Unix system calls are thread-safe, with a few exceptions:

Thread-unsafe function	Class	Reentrant version
asctime	3	asctime_r
ctime	3	ctime_r
gethostbyaddr	3	gethostbyaddr_r
gethostbyname	3	gethostbyname_r
inet_ntoa	3	(none)
localtime	3	localtime_r
rand	2	rand_r

Notifying With Semaphores



Common synchronization pattern:

- Producer waits for slot, inserts item in buffer, and notifies consumer
- Consumer waits for item, removes it from buffer, and notifies producer

Examples

- Multimedia processing:
 - Producer creates MPEG video frames, consumer renders them
- Event-driven graphical user interfaces
 - Producer detects mouse clicks, mouse movements, and keyboard hits and inserts corresponding events in buffer
 - Consumer retrieves events from buffer and paints the display

Producer-Consumer on a Buffer That Holds One Item

```
/* buf1.c - producer-consumer
on 1-element buffer */
#include "csapp.h"
```

```
#define NITERS 5
```

```
void *producer(void *arg);
void *consumer(void *arg);
```

```
struct {
   int buf; /* shared var */
   sem_t full; /* sems */
   sem_t empty;
} shared;
```

```
int main() {
    pthread_t tid_producer;
    pthread_t tid_consumer;
```

```
/* initialize the semaphores */
Sem_init(&shared.empty, 0, 1);
Sem init(&shared.full, 0, 0);
```

```
exit(0);
```

Producer-Consumer (cont)

Initially: empty = 1, full = 0

```
/* producer thread */
void *producer(void *arg) {
  int i, item;
  for (i=0; i<NITERS; i++) {</pre>
    /* produce item */
    item = i;
    printf("produced %d\n",
            item);
    /* write item to buf */
    P(&shared.empty);
    shared.buf = item;
    V(&shared.full);
  return NULL;
```

```
/* consumer thread */
void *consumer(void *arg) {
    int i, item;

    for (i=0; i<NITERS; i++) {
        /* read item from buf */
        P(&shared.full);
        item = shared.buf;
        V(&shared.empty);

        /* consume item */
        printf("consumed %d\n", item);
    }
    return NULL;
}</pre>
```

Counting with Semaphores

Remember, it's a non-negative integer

So, values greater than 1 are legal

Lets repeat thing_5() 5 times for every 3 of thing_3()

```
/* thing_5 and thing_3 */
#include "csapp.h"
sem_t five;
sem_t three;
void *five_times(void *arg);
void *three_times(void *arg);
```

```
int main() {
   pthread_t tid_five, tid_three;
   /* initialize the semaphores */
   Sem_init(&five, 0, 5);
```

```
Sem_init(&three, 0, 3);
```

```
/* create threads and wait */
Pthread_create(&tid_five, NULL,
                             five_times, NULL);
Pthread_create(&tid_three, NULL,
                             three_times, NULL);
```

Counting with semaphores (cont)

Initially: five = 5, three = 3

```
/* thing_5() thread */
void *five times(void *arg) {
  int i;
  while (1) {
    for (i=0; i<5; i++) {</pre>
      /* wait & thing_5() */
      P(&five);
      thing_5();
    }
    V(&three);
    V(&three);
    V(&three);
  return NULL;
```

```
/* thing_3() thread */
void *three_times(void *arg) {
  int i;
  while (1) {
    for (i=0; i<3; i++) {</pre>
     /* wait & thing_3() */
     P(&three);
      thing 3();
    }
   V(&five);
   V(&five);
   V(&five);
    V(&five);
    V(&five);
  }
  return NULL;
```

Threads Summary

- Threads provide another mechanism for writing concurrent programs
- Threads are growing in popularity
 - Somewhat cheaper than processes
 - Easy to share data between threads
- However, the ease of sharing has a cost:
 - Easy to introduce subtle synchronization errors
 - Tread carefully with threads!

For more info:

 D. Butenhof, "Programming with Posix Threads", Addison-Wesley, 1997

Beware of Optimizing Compilers!

Code From Book

#define NITERS 10000000

```
/* shared counter variable */
unsigned int cnt = 0;
```

```
/* thread routine */
void *count(void *arg)
```

{

- Global variable cnt shared between threads
- Multiple threads could be trying to update within their iterations

Ge	enerated Code
movl	cnt, %ecx
movl	\$999999999, %eax
.16:	
leal	1(%ecx), %edx
decl	%eax
movl	%edx, %ecx
jns	.L6
movl	%edx, cnt

- Compiler moved access to cnt out of loop
- Only shared accesses to cnt occur before loop (read) or after (write)
- What are possible program outcomes?

Controlling Optimizing

Compilers! Revised Book Code

```
#define NITERS 10000000
```

```
/* shared counter variable */
volatile unsigned int cnt = 0;
```

```
/* thread routine */
void *count(void *arg)
```

{

Generated Code

```
movl $999999999, %edx
.L15:
    movl cnt, %eax
    incl %eax
    decl %edx
    movl %eax, cnt
    jns .L15
```

 Declaring variable as volatile forces it to be kept in memory Shared variable read and written each iteration