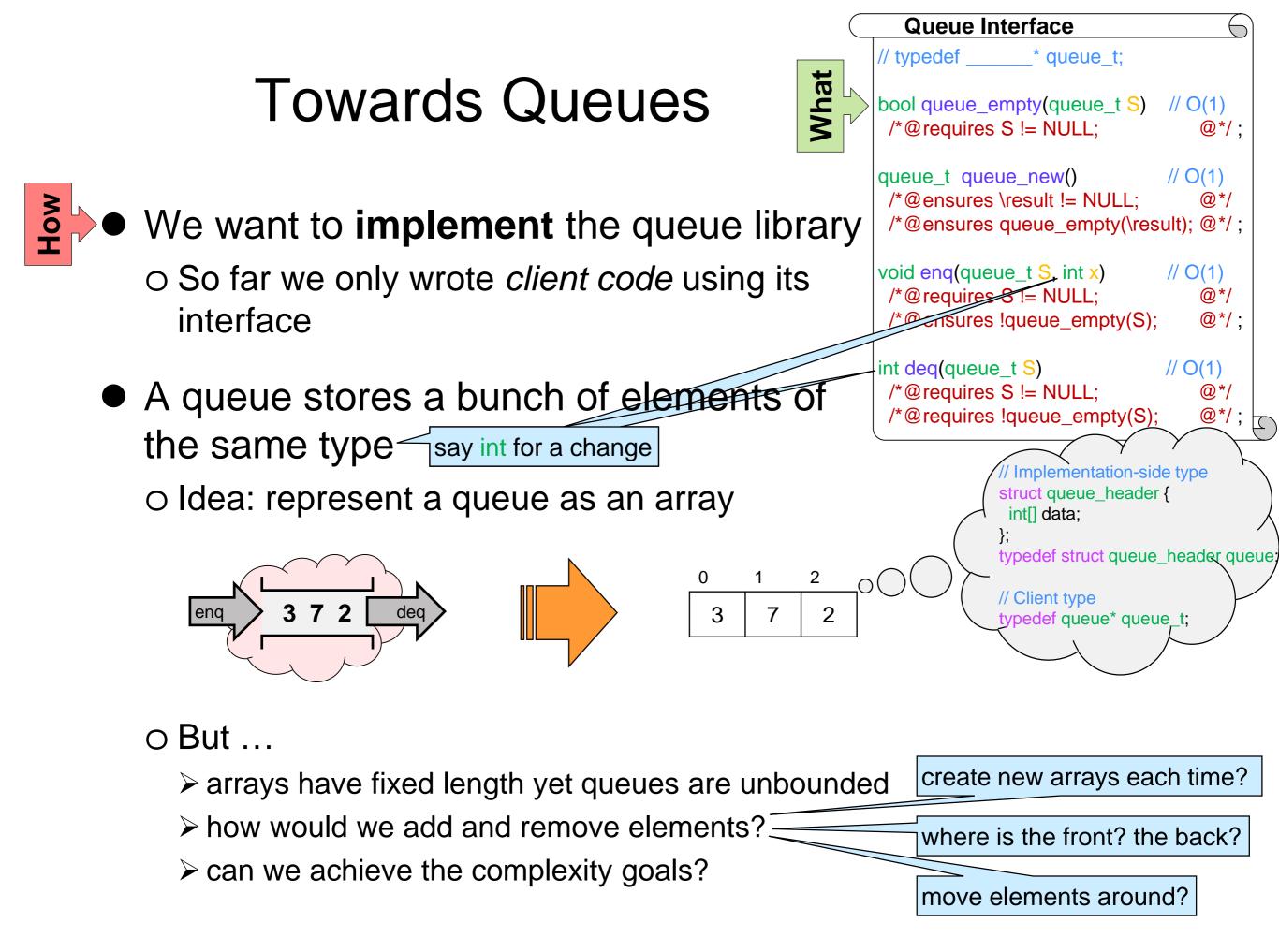
# Linked Lists



# **Toward Queues**

 A queue stores a bunch of elements of the same type

Represent a queue as an array

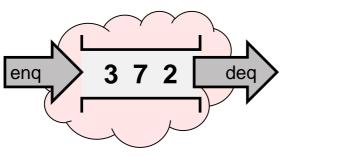
 We want something like an array but where

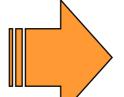
o we can add/remove elements at the beginning and end

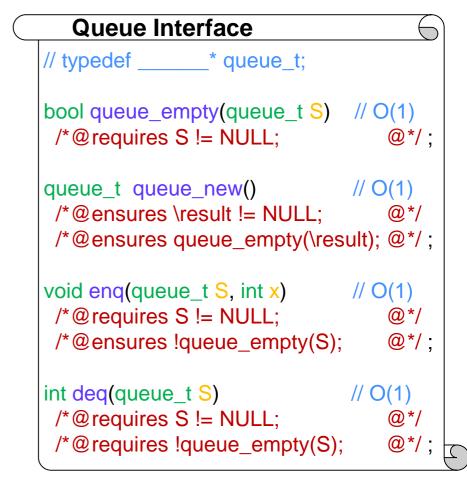
Х

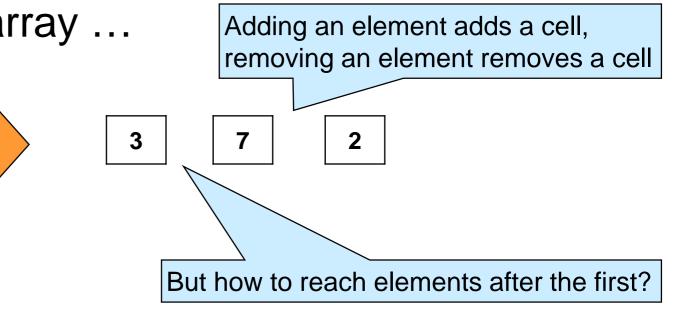
o have it grow and shrink as needed

Some kind of disembodied array ...





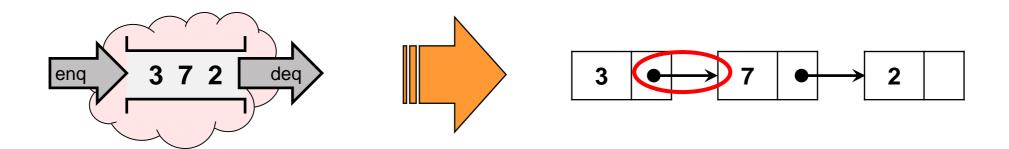




# **Toward Queues**

- A disembodied array
   o how to reach the elements after the first?
- Use pointers to go to the next element

$\subset$	Queue Interface	6
	// typedef* queue_t;	
	bool queue_empty(queue_t S) /*@requires S != NULL;	// O(1) @*/ ;
	<pre>queue_t queue_new() /*@ensures \result != NULL; /*@ensures queue_empty(\res</pre>	// O(1) @*/ ult); @*/ ;
	<pre>void enq(queue_t S, int x) /*@requires S != NULL; /*@ensures !queue_empty(S);</pre>	// O(1) @*/ @*/;
	<pre>int deq(queue_t S)    /*@requires S != NULL;    /*@requires !queue_empty(S);</pre>	// O(1) @*/ @*/;

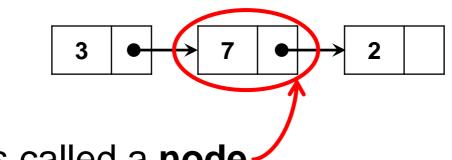


• This is called a linked list

### **Linked Lists**

### Lists of Nodes

• Linked lists use pointers to go to the next element



o each block is called a node-

Let's implement it:

a node consists of
 o a data element — an int here

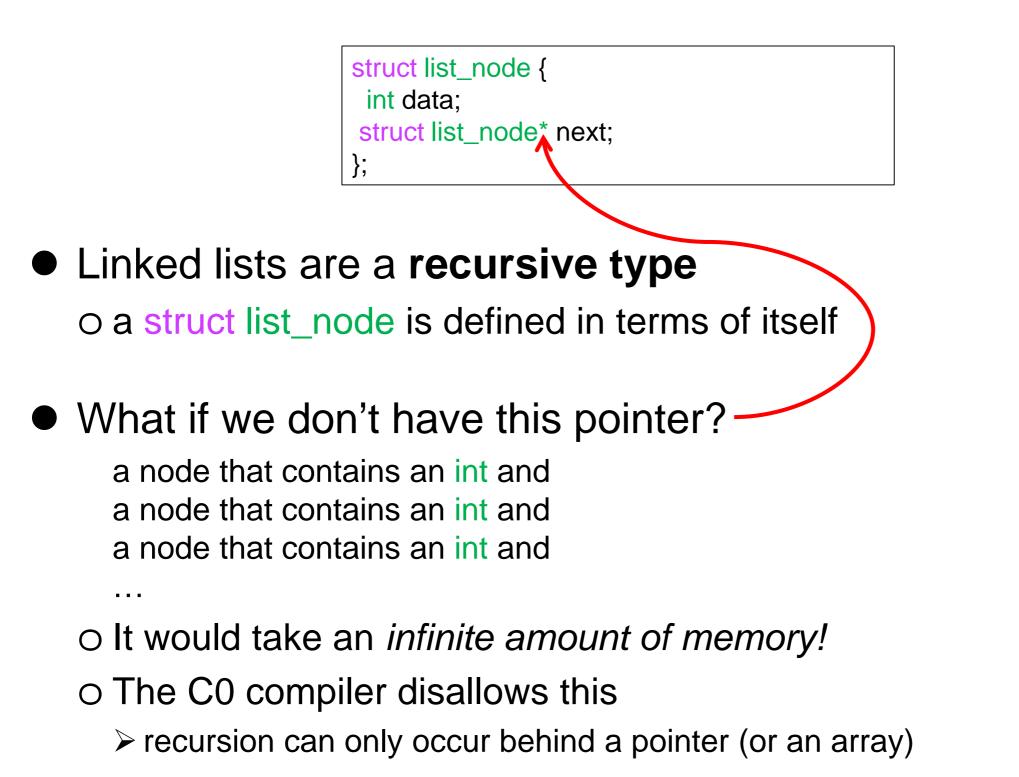
o a pointer to the next node

```
struct list_node {
    int data;
    struct list_node* next;
};
```

• The whole list is a pointer to its first node



### Lists of Nodes



### Lists of Nodes

struct list\_node {
 int data;
 struct list\_node\* next;
};

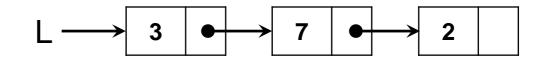
• Let's make it more readable



### Implementing this linked list

```
list* L = alloc(list);
L->data = 3;
L->next = alloc(list);
L->next->data = 7;
L->next->next = alloc(list);
```

L->next->next->data = 2;



### $3 \quad \bullet \quad 7 \quad \bullet \quad 2$

So far we just drew

an empty box ...

### Lists of Nodes

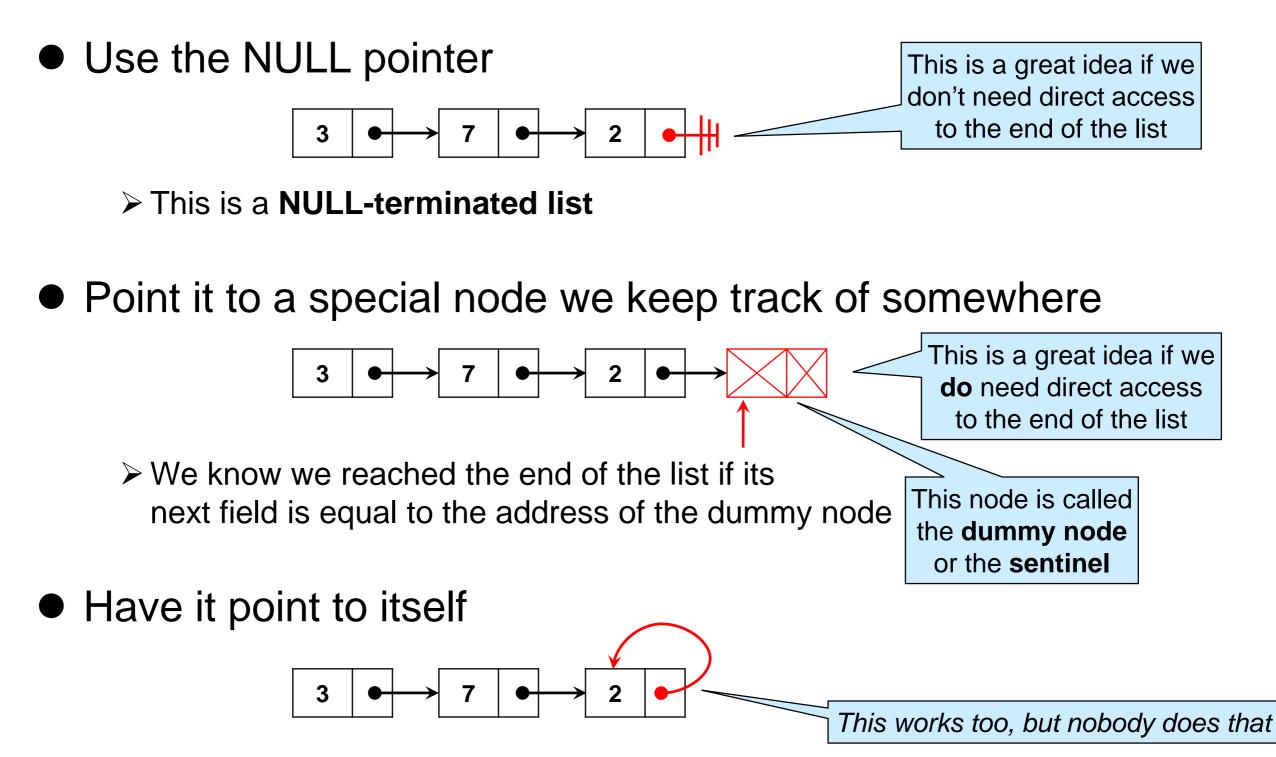
Does this help us implement queues?
Linked lists can be arbitrarily large or small
use just the nodes we need
size is not fixed like arrays
It's easy to insert an element at the beginning
allocate a new node and point its next field to the list
In fact, it's easy to insert an element between any two nodes
allocate a new node and move pointers around

What about inserting an element at the end?
 O How do we indicate the end of a linked list?



### The End of a List

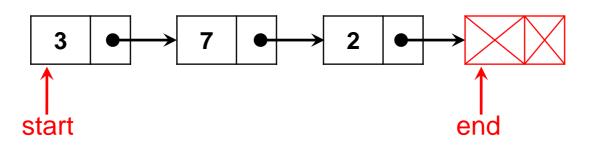
We need to make the pointer in the last node special



### **List Segments**

### Lists with a Dummy Node

• We need to keep track of *two* pointers



o start: where the first node is

o end: the address in the next field of the last node

 $\succ$  the address of the dummy node

• What's in the dummy node?

o some values that are not important to us

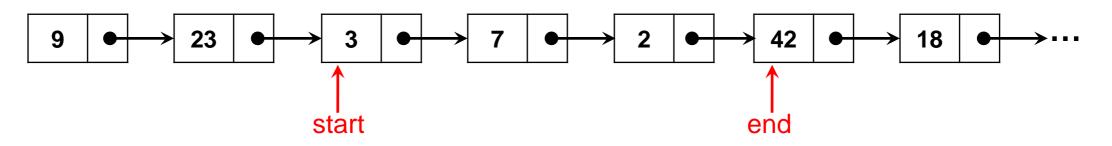
some number and some pointer -

- o we say its fields are unspecified
  - > no way to test for "unspecified"

These values are not special in any way:data could be any elementnext may or may not be NULL

### List Segments

• There may be more nodes before and after



O The pair of pointers start and end identify our list exactly

- > start is inclusive (the first node of the list)
- end is exclusive (one past the last node of the list)

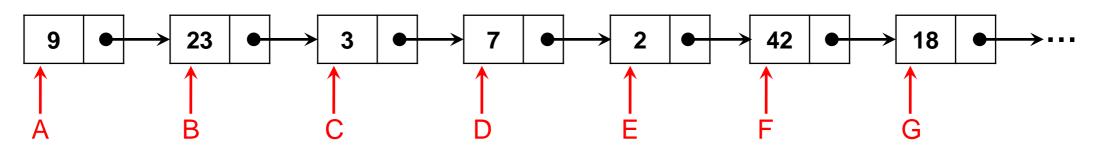
points to the dummy node

### O They identify the list segment [start, end)

- □ here it contain values 3, 7 and 2
- similar to array segments A[lo, hi)

### List Segments

• There are many list segments in a list

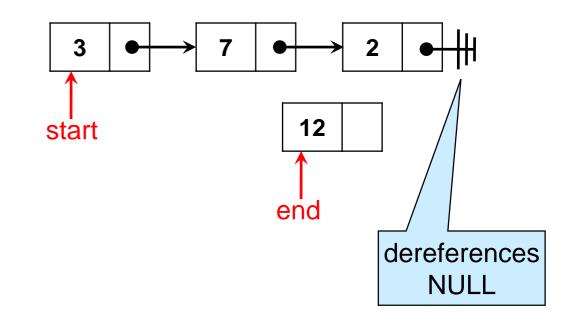


○ The list segment [C, F) contains elements 3, 7, 2
 □ its dummy node has field values 42 and the pointer G

- o The list segment [A, G) contains 9, 23, 3, 7, 2, 42
  - $\hfill\square$  its dummy node has field values 18 and the some pointer
- O The list segment [B, D) contains 23, 3
  - □ its dummy node has field values 7 and the pointer E
- O The list segment [C, C) contains no elements
  - □ its dummy node has field values 3 and the pointer D
  - this is the empty segment
  - any segment where start is the same as end
     [A, A), [B, B), ...

- typedef struct list\_node list; struct list\_node { int data; list\* next; };
- We want to write a specification function that checks that two pointers start and end form a list segment
   Follow the post pointer from start until we reach and
  - Follow the next pointer from start until we reach end

bool is_segment(list* start, list* end) {
list*   = start;
while (I != end) {
I = I -> next;
}
return true;
}

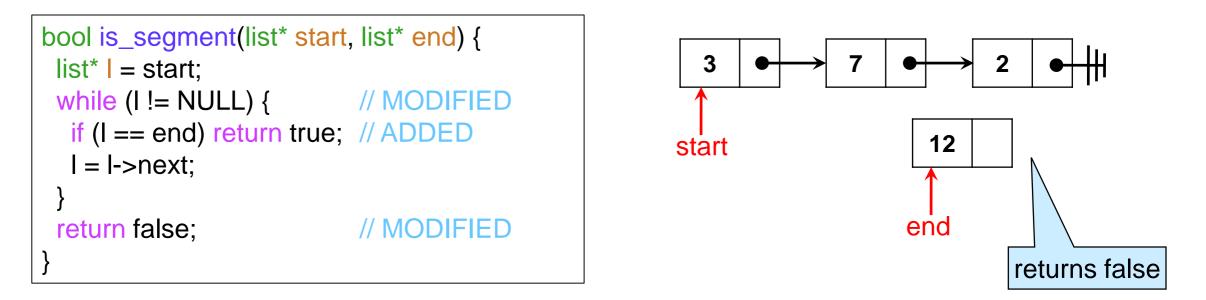


X

### O Does this work?

- the dereference I->next may not be safe
  - □ we need NULL-checks!
- ➤ we never return false

- typedef struct list\_node list; struct list\_node { int data; list\* next; };
- We want to write a specification function that checks that two pointers start and end form a list segment
   Follow the post pointer from start until we reach and
  - Follow the next pointer from start until we reach end



O Does this work?

➢ if there is a list segment from start to end, it will return true

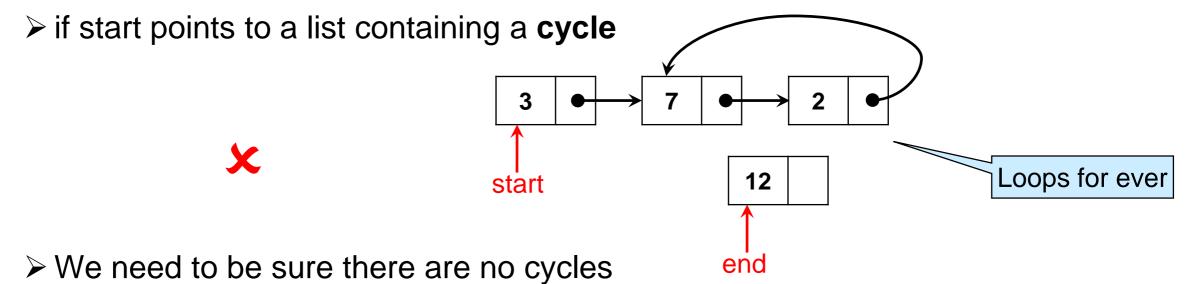
if it returns false, there is no list segment from start to end
 It works then ...

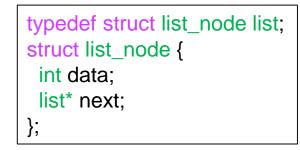
• A function that checks that start and end form a list segment

```
bool is_segment(list* start, list* end) {
    list* l = start;
    while (l != NULL) {
        if (l == end) return true;
        l = l->next;
    }
    return false;
}
```

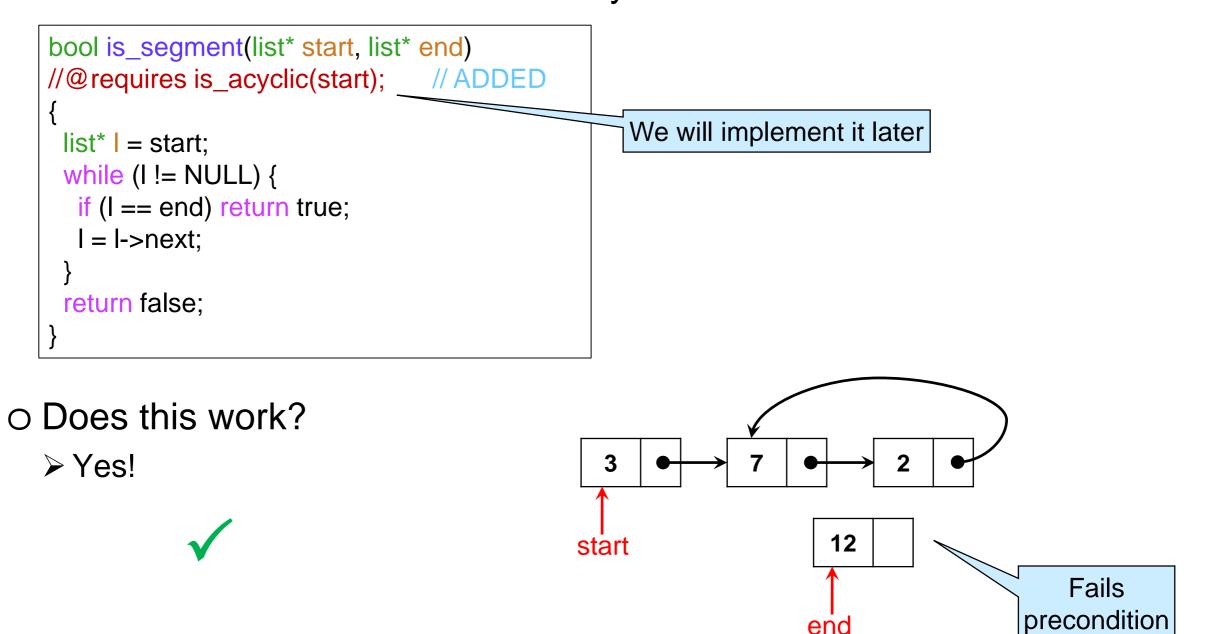
- if there is a list segment from start to end, it will return true
- if it returns false, there is no list segment from start to end

o Can there be no list segment but it does not return false





A function that checks that start and end form a list segment
 O We need to be sure there are no cycles



### • A function that checks that start and end form a list segment

```
bool is_segment(list* start, list* end)
//@requires is_acyclic(start);
{
    list* l = start;
    while (l != NULL) {
        if (l == end) return true;
        l = l->next;
    }
    return false;
}
```

O Notes:

- returns false if start == NULL
- ➤ or if end == NULL
  - NULL is not a pointer to a list node
  - subsumes NULL-check for both start and end

typedef struct list\_node list; struct list\_node { int data; list\* next; };

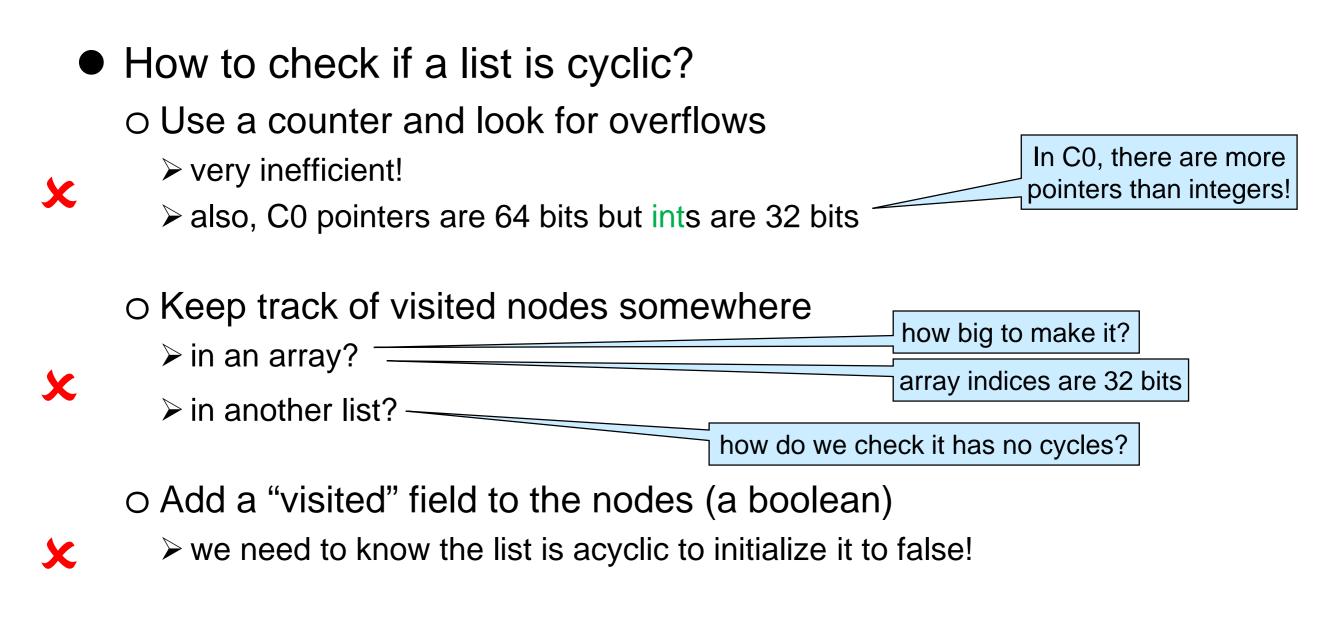
 We can also write it more succinctly o using a for loop

```
bool is_segment(list* start, list* end)
//@requires is_acyclic(start);
{
  for (list* l = start; l != NULL; l = l->next) {
    if (l == end) return true;
  }
  return false;
```

All 3 versions are equivalent

o recursively

### **Detecting Cycles**



o What then?

# Detecting Cycles

The tortoise and hare algorithm by this dude

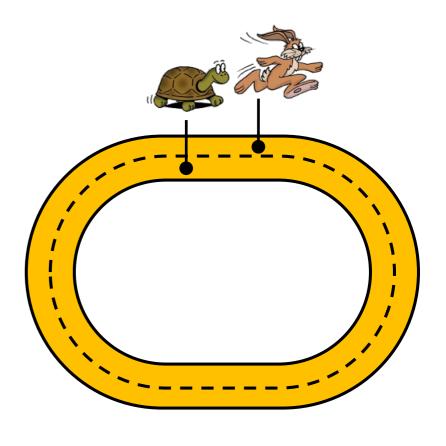
O Traverse the list using two pointers

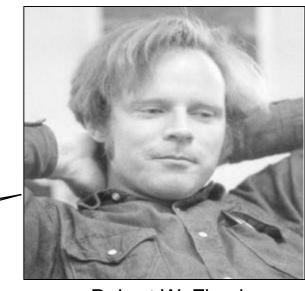
> the tortoise starts at the beginning and moves by 1 step

> the hare starts just ahead of the tortoise and moves by 2 steps

O If the hare ever overtakes the tortoise, there is a cycle

Robert W. Floyd



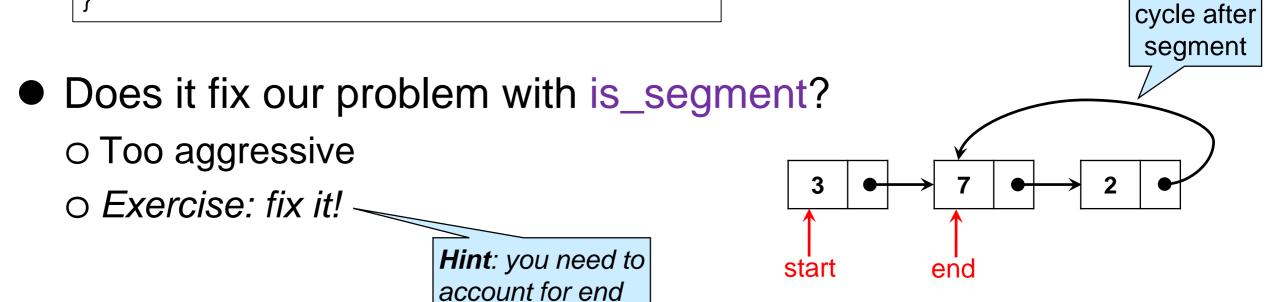


# **Detecting Cycles**

### • The tortoise and hare algorithm

### o Returns

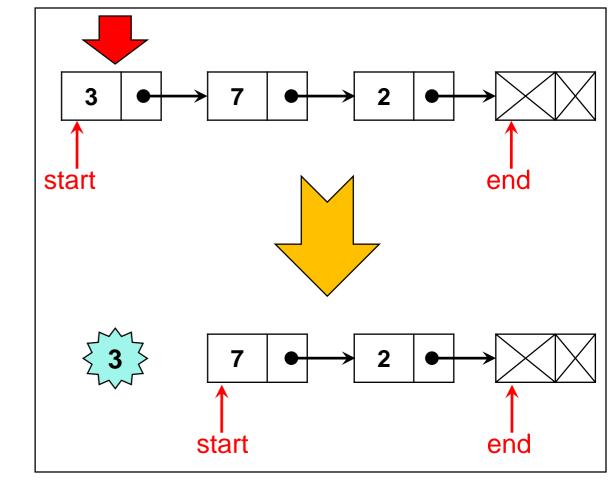
- > true if there is no cycle
- ➤ false if there is a cycle

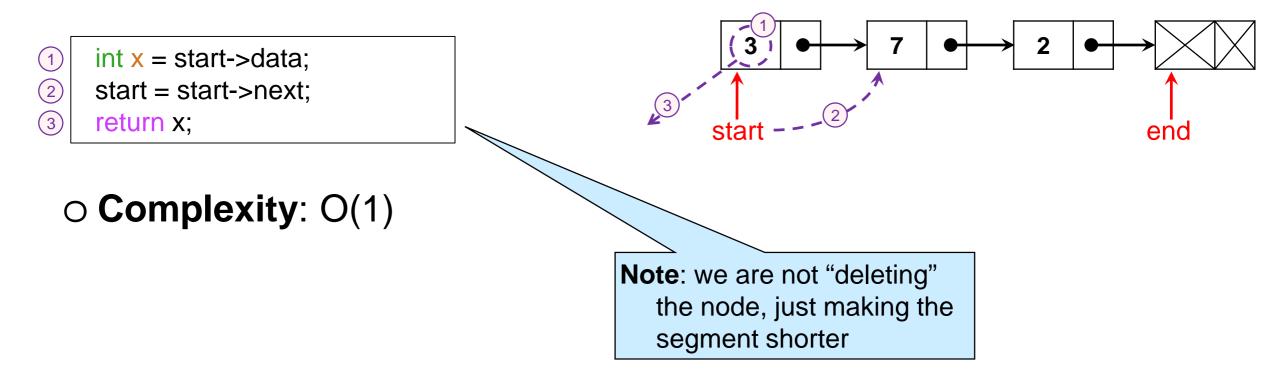


### **Manipulating List Segments**

### **Deleting an Element**

- How do we remove the node at the beginning of a non-empty list segment [start, end)?
  - > and return the value in there
  - 1. grab the value in the start node
  - 2. move start to point to the next node
  - 3. return the value



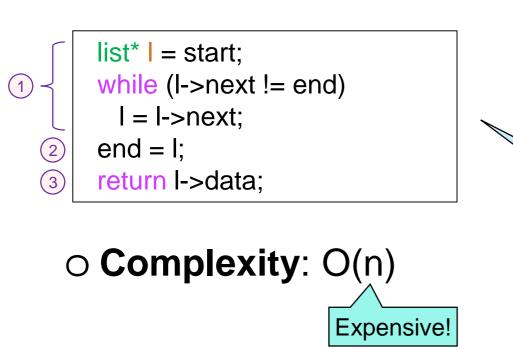


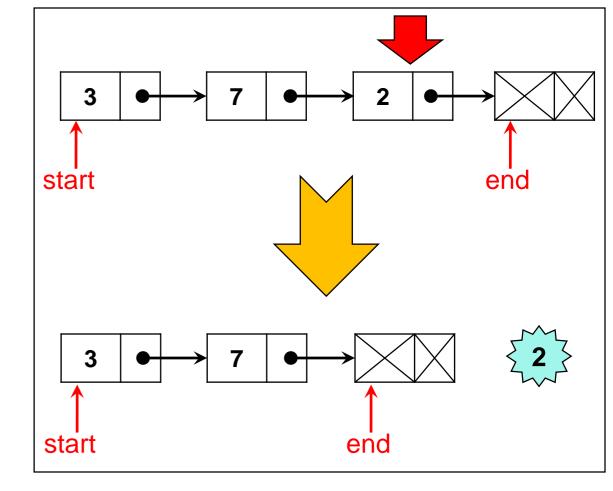
# **Deleting an Element**

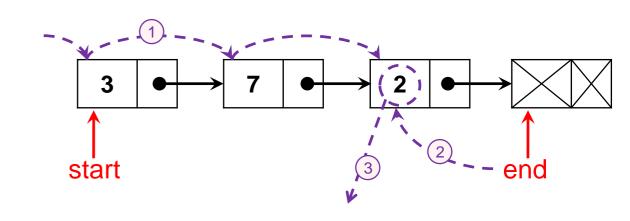
 How do we remove the last node of a non-empty list segment [start, end)?

> and return the value in there

- o we must go from start
  - end is one node too far
  - 1. follow next until just before end
  - 2. move end to that node
  - 3. return its value







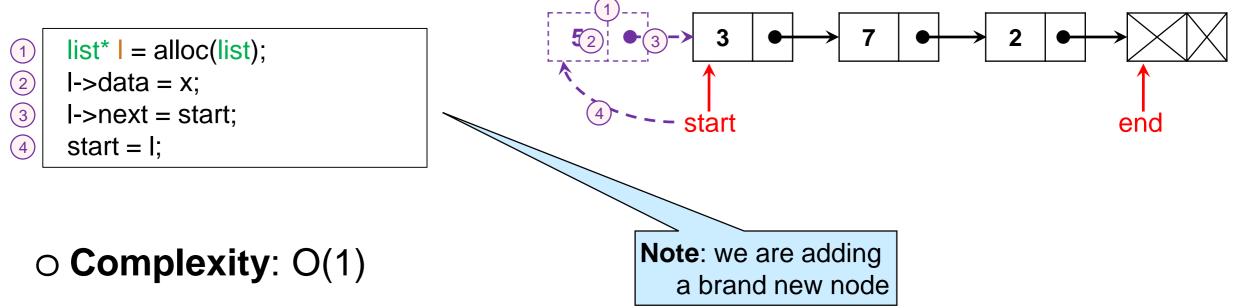
### Notes:

- The old last node becomes the new dummy node
- We are not "deleting" anything, just making the segment shorter

### Inserting an Element

- How do we add a node at the beginning of a list segment [start, end)?
- ent  $3 \rightarrow 7 \rightarrow 2 \rightarrow 1$  start  $5 \rightarrow 3 \rightarrow 7 \rightarrow 2 \rightarrow 1$  start startsta

- 1. create a new node
- 2. set its data field to the value to add
- 3. set its next field to start
- 4. set start to it

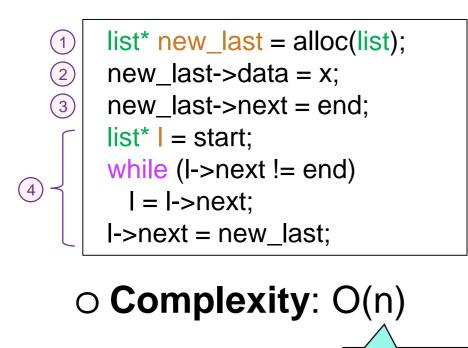


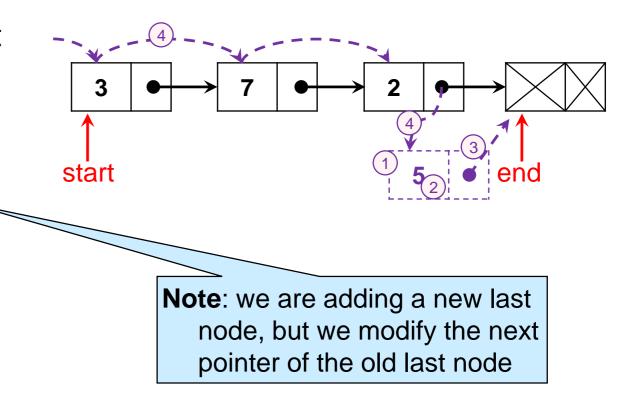
# Inserting an Element How do we add a node as the last node of a list segment [start, end)? 1. create a new node

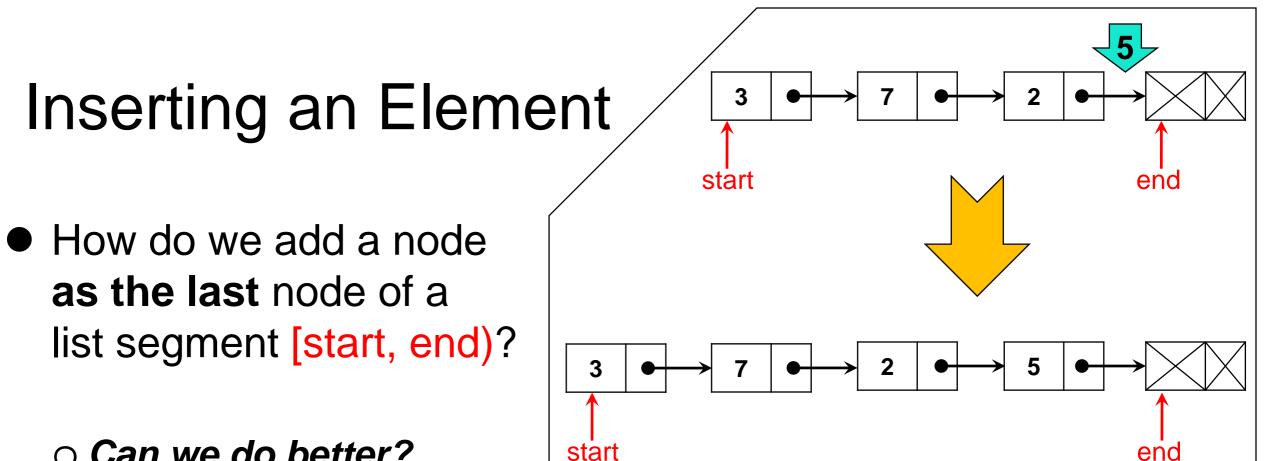
- 1. Create a new noue
- 2. set its data field to the value to add

Expensive!

- 3. set its next field to end
- 4. point the old last node to it

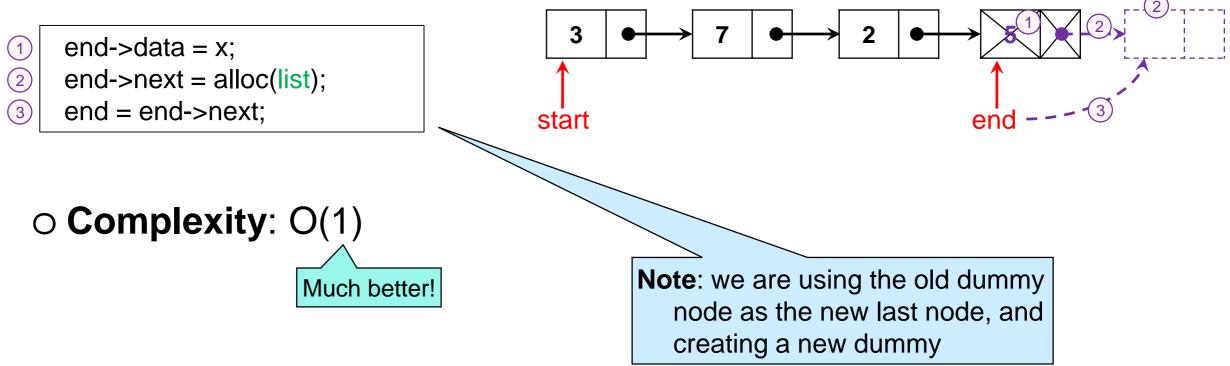




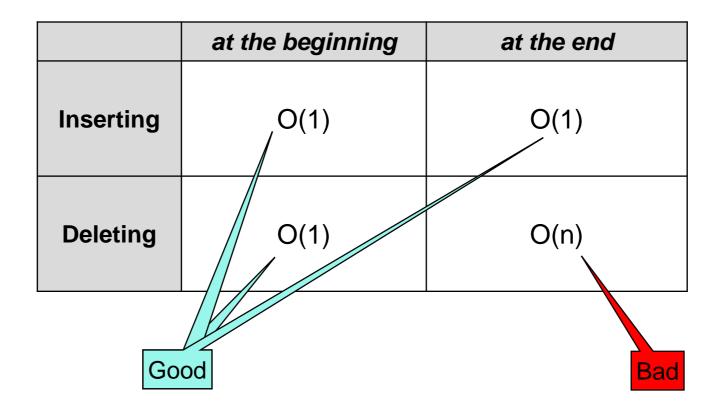


### • Can we do better?

- 1. set the data field of end to the value to add
- 2. set its next field to a new dummy node
- 3. set end to it



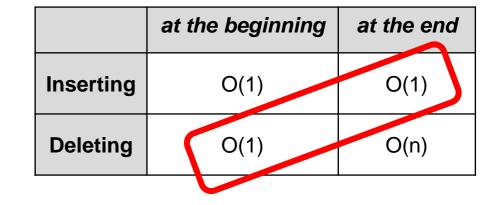
### Summary



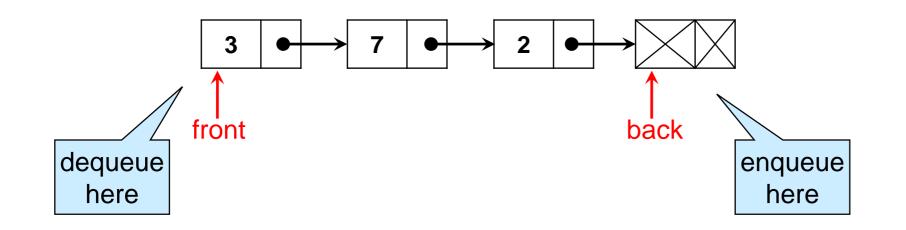
 We will use this as a guide when implementing queues (and stacks) to achieve their complexity goals

### **Implementing Queues**

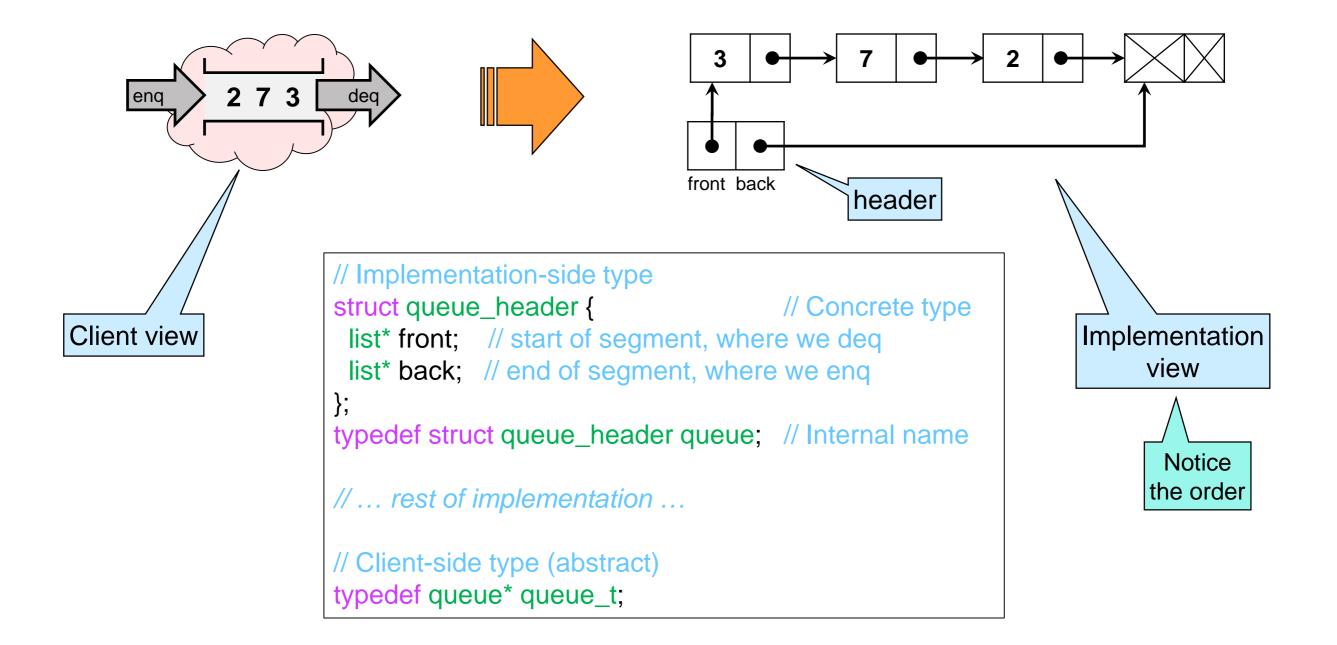
Implementing queues
 We add and remove from *opposite ends* Cost must be O(1)



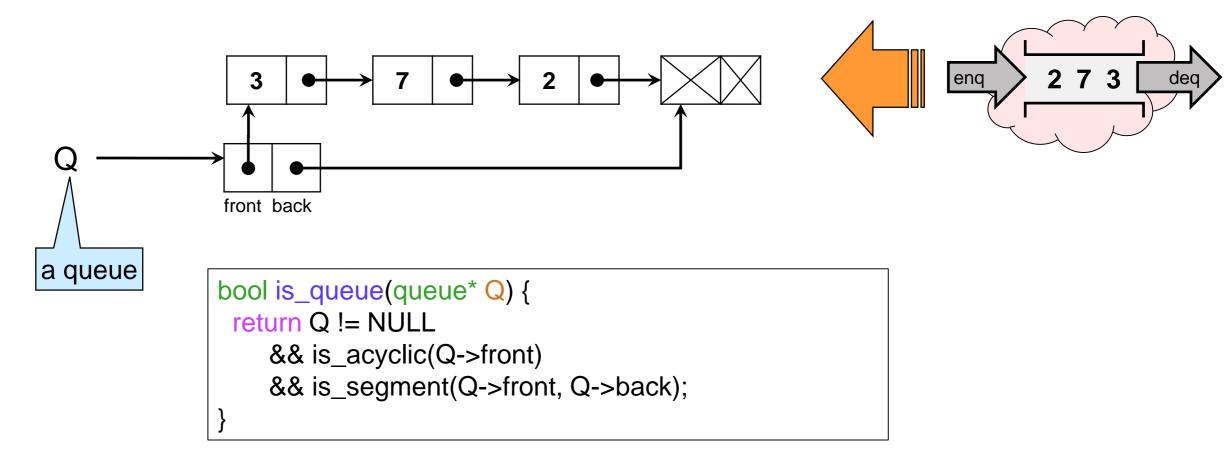
- The front of the queue is the start of the segment
   o because that's where we remove elements from
   > choosing the end would give deq cost O(n)
- The back of the queue is the end of the segment
   > the dummy node



- The front of the queue is the start of the segment
- The **back** of the queue is the end of the segment



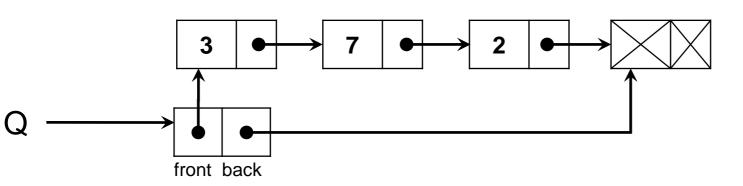
- Internally, queues are values of type queue\*
  - o must be non-NULL
  - o front and back fields must bracket a valid list segment



S	<pre>/pedef struct list_node list; truct list_node { int data; list* next;</pre>	
}:		
<b>_</b> ,		
<pre>struct queue_header {</pre>		
list* front;		
list* back;		
};		
typedef struct queue_header queue;		

Next we implement the operations exported by the interface

Queue Interface	6
<pre>// typedef* queue_t;</pre>	
<pre>bool queue_empty(queue_t S) /*@requires S != NULL;</pre>	) // O(1) @*/;
<pre>queue_t queue_new()     /*@ensures \result != NULL;     /*@ensures queue_empty(\result)</pre>	
<pre>void enq(queue_t S, int x) /*@requires S != NULL; /*@ensures !queue_empty(S)</pre>	// O(1) @*/ \$); @*/;
<pre>int deq(queue_t S)     /*@requires S != NULL;     /*@requires !queue_empty(S)</pre>	// O(1) @*/ S); @*/ ;



	<pre>typedef struct list_node list;</pre>	
	<pre>struct list_node {</pre>	
	int data;	
	list* next;	
	};	
struct queue_header {		
list* front;		
list* back;		
};		
typedef struct queue_header queue;		

• Enqueuing

o add at the back

```
void enq (queue* Q, int x)
//@requires is_queue(Q);
//@ensures is_queue(Q);
//@ensures !queue_empty(Q);
{
    Q->back->data = x;
    Q->back->next = alloc(list);
    Q->back = Q->back->next;
}
```

### Dequeueing

o remove from the front

int deq (queue\* Q)
//@requires is\_queue(Q);
//@requires !queue\_empty(Q);
//@ensures is\_queue(Q);

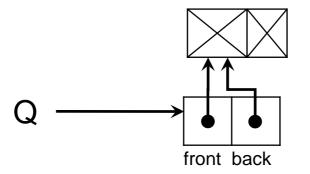
int x = Q->front->data; Q->front = Q->front->next; return x;

o This is the code we wrote earlier with

- start changed to Q->front
- end changed to Q->back

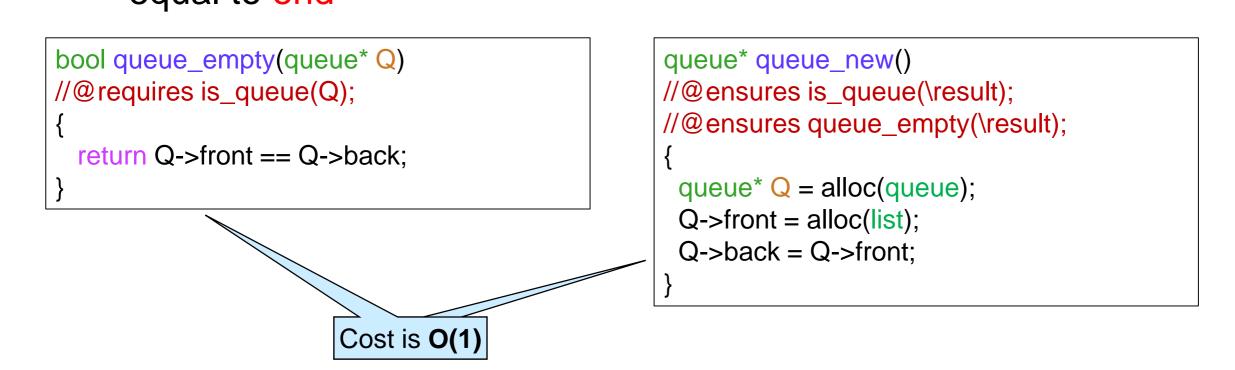
Cost is **O(1)** 

Queues as	List Segments
-----------	---------------



	typedef struct list_node list;		
<pre>struct list_node {</pre>			
	int data;		
	list* next;		
	};		
<pre>struct queue_header {</pre>			
list* front;			
list* back;			
};			
typedef struct queue_header queue;			

 The empty queue
 o empty segment has start equal to end Creating a queue
 o we create an empty queue



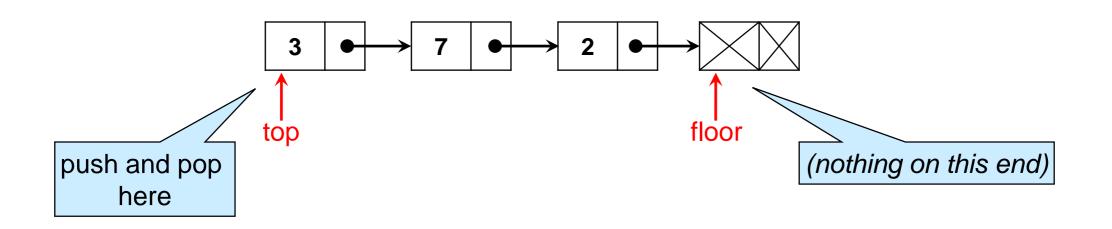
#### **Implementing Stacks**

# Stacks as List Segments

Implementing stacks
 O We add and remove from the same end
 O Cost must be O(1)

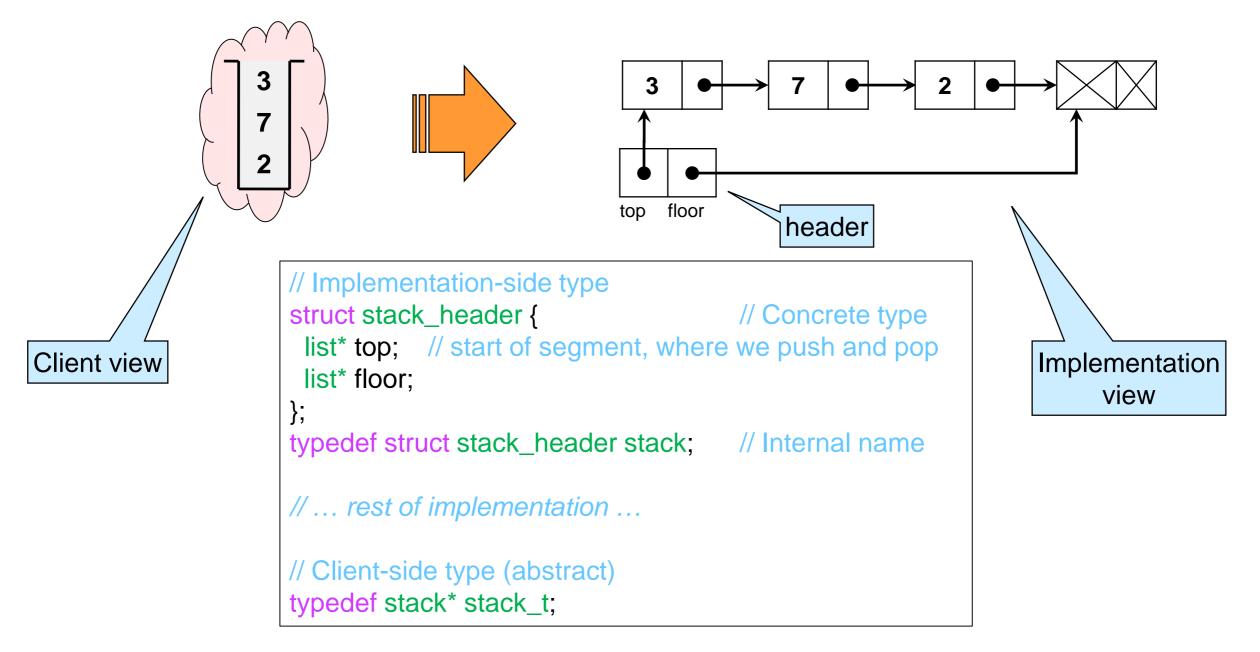
	at the beginning		at the end	
Inserting		O(1)		O(1)
Deleting		O(1)		O(n)

- The top of the stack is the start of the segment
   o because that's where we add and remove elements
   > choosing the end would give pop cost O(n)
- The floor of the stack is the end of the segment
   > the dummy node



# Stack as List Segments

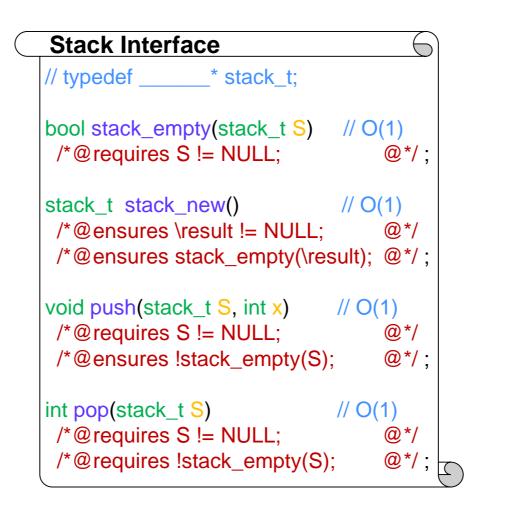
• The top and floor of the queue is the start of the segment

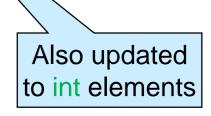


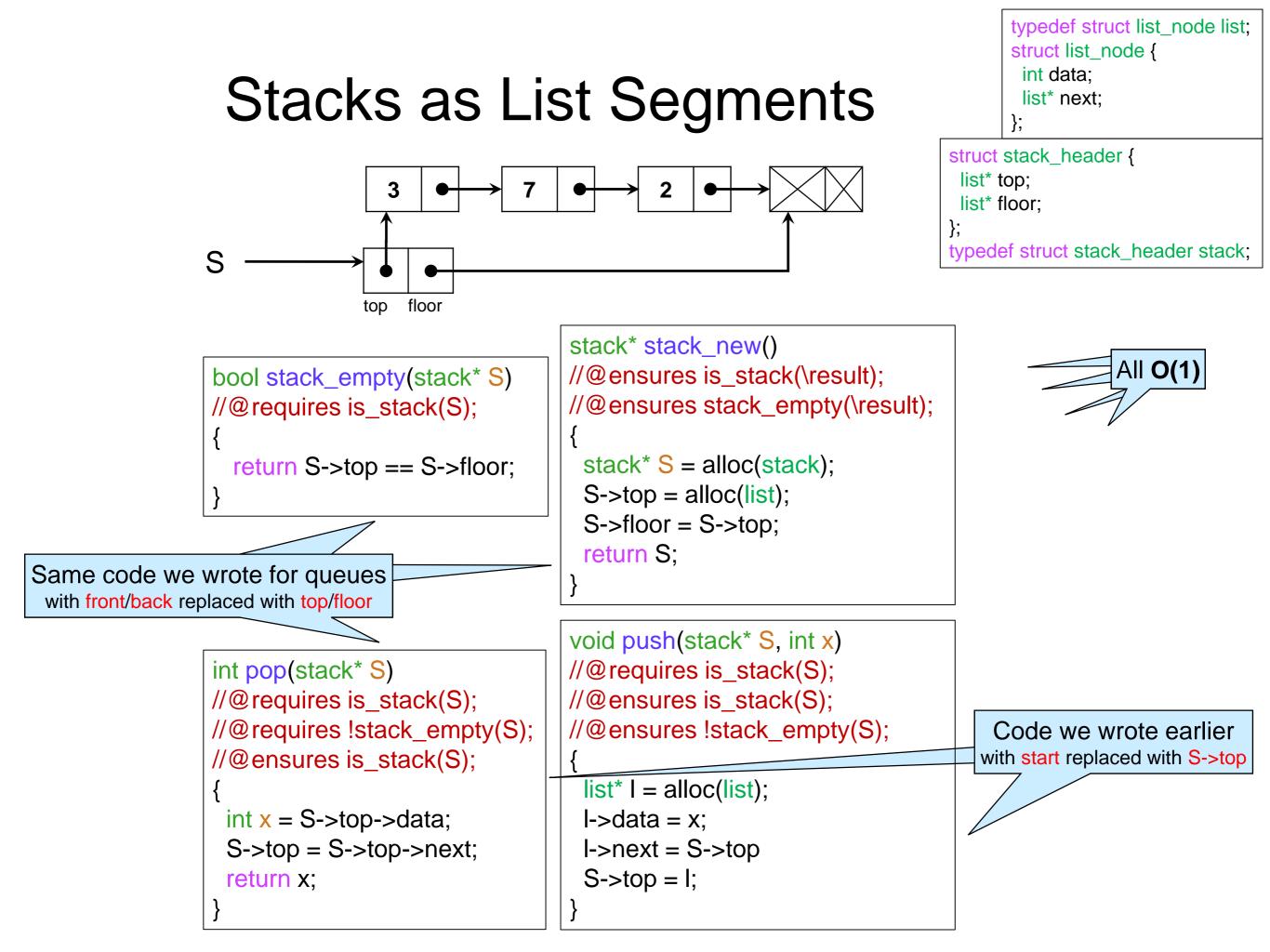
O The representation invariant is\_stack is just like is\_queue

## Stacks as List Segments

Next we implement the operations exported by the interface





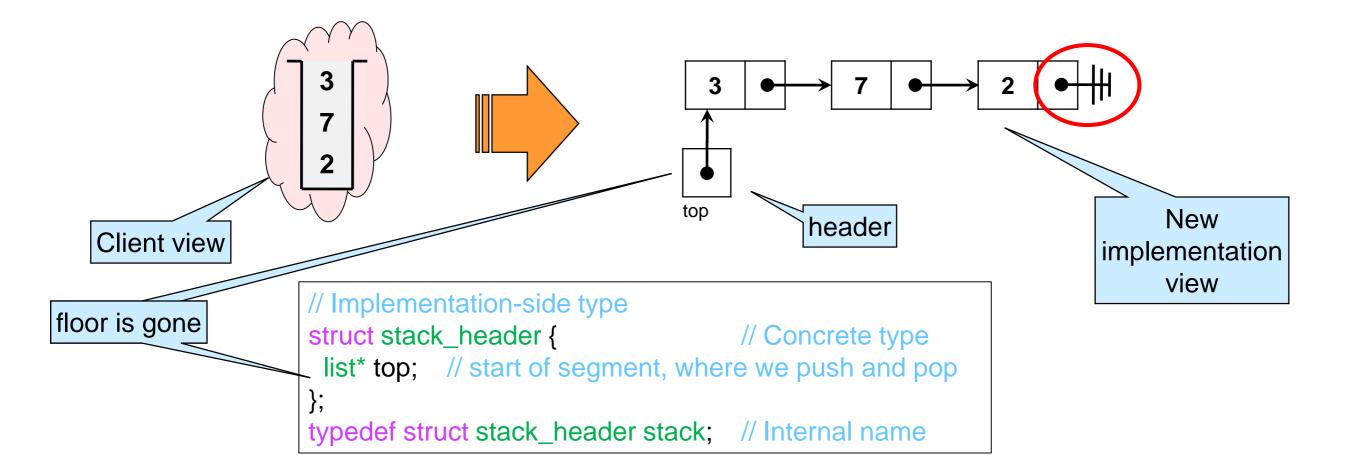


# Another Implementation of Stacks

- The floor field goes mostly unused
   only to check that a stack is empty
- We can get rid of it ...

O ... if we represent stacks as NULL-terminated lists

This is a great idea if we don't need direct access to the end of the list

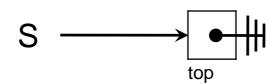


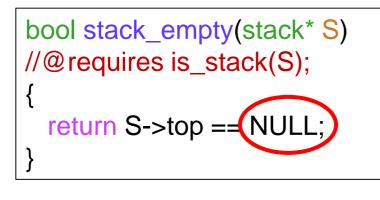
# Another Implementation of Stacks

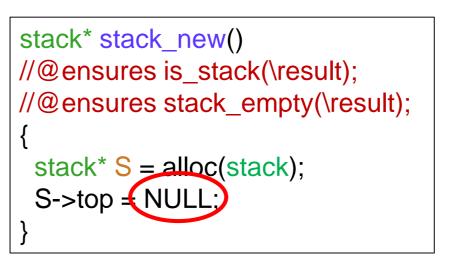
- Valid stacks are
  - o non-NULL and
  - o the top field is a NULL-terminated list
    - ➤ i.e., is acyclic

bool is\_stack(stack\* S) {
 return S != NULL
 && is\_acyclic(S->top);
}

 The empty stack has NULL in the top field



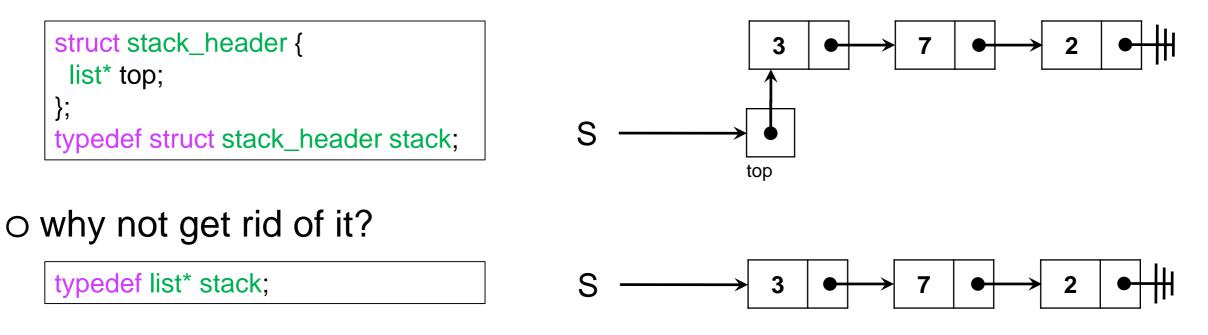




• Nothing else changes!

# Stacks without Headers

• Since the header contains just one field,



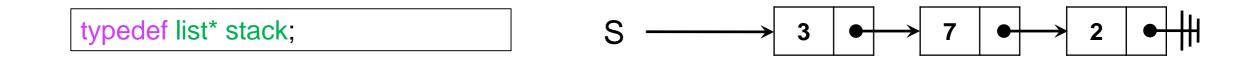
push and pop are now incorrect

- □ they modify the local stack variable but not the caller's
- □ aliasing!

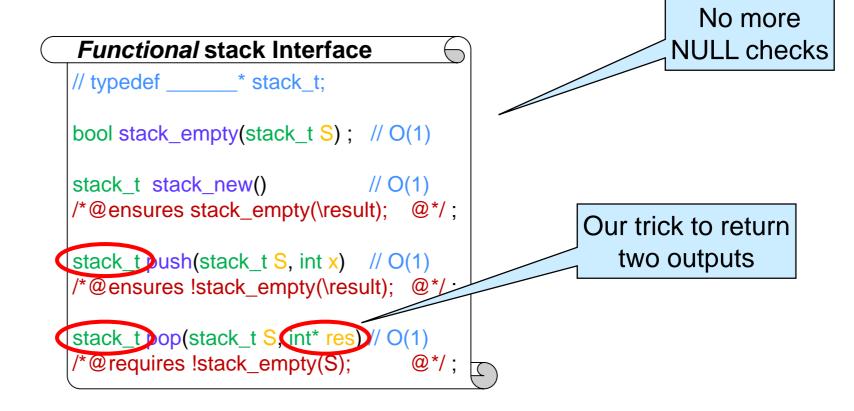
➢ it breaks the interface: NULL is now the empty stack



### **Stacks without Headers**



• But we're fine if we always *return* the updated stack

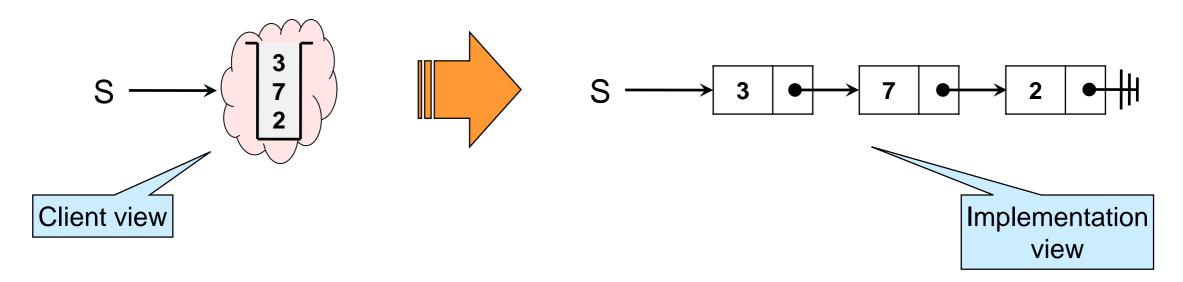


O Functions transform an input stack into an output stack

this is a functional interface

#### **Functional Stacks**

• How to create this stack?



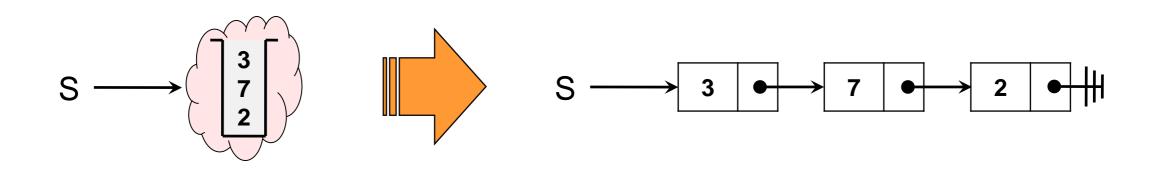
stack\_t S = stack\_empty(); S = push(S, 2); S = push(S, 7); S = push(S, 3);

#### > equivalently

stack\_t S = push(push(push(stack\_empty(), 2), 7), 3);

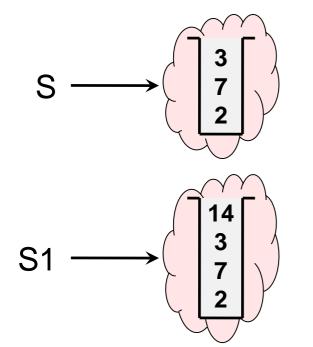
□ but harder to read

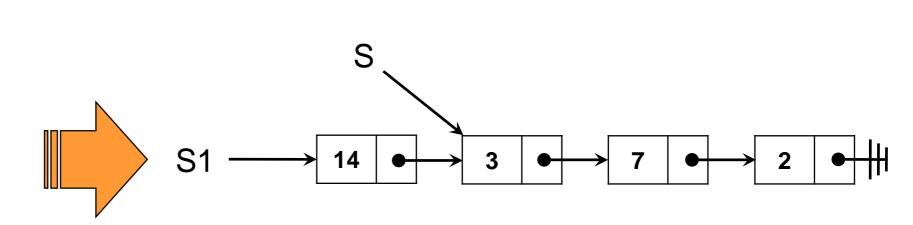
#### **Functional Stacks**



O What if now we do

stack\_t S1 = push(S, 14); ?

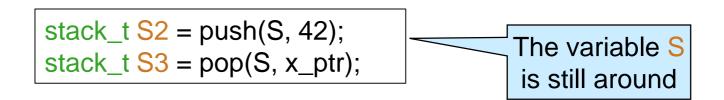


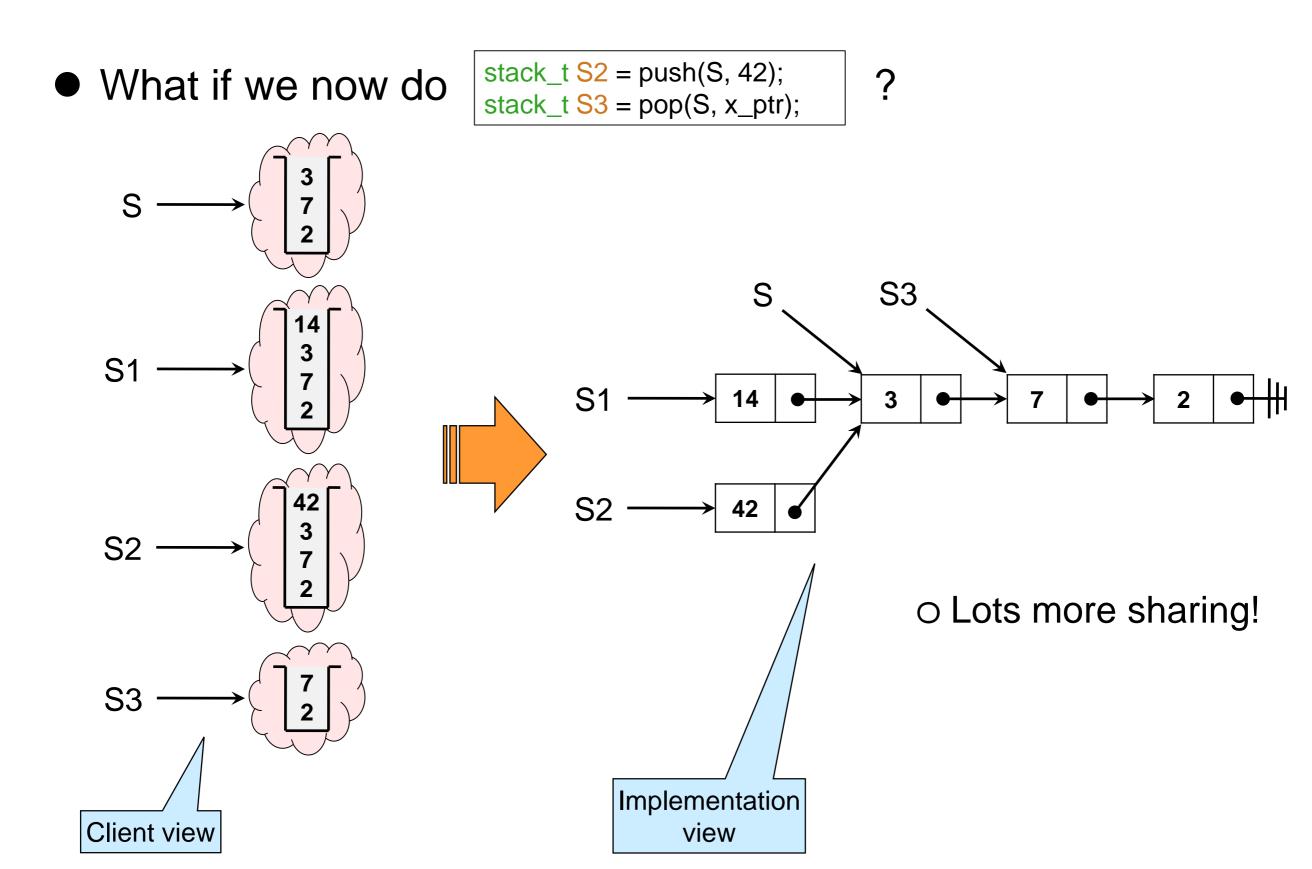


- The client has two stacks
  - □ S with 3, 7, 2
  - □ S1 with 14, 3, 7, 2
- > In the implementation, they **share** a suffix
  - □ the linked list 3, 7, 2 is shared

A functional stack library supports sharing list suffixes
 This takes up much less space than our earlier implementation!
 The client has no idea

• What if we now do this?





If sharing is so great, why don't our libraries always use it?
 It takes a change of mindset

 $\succ$  using functions that don't modify data structures in place

O A lot of code we write uses one instance of a data structure

So what? Sharing wouldn't hurt anyway

**Good** point

It doesn't work for all data structures

≻ Try it on queues!

• Functional programming languages rely heavily on sharing

Wrap Up

## What have we done?

- We introduced linked lists and two common ways to use them
   NULL-terminated linked lists
   list segments
- We learned about list manipulations and their complexity
- We used them to implement stacks and queues
- We talked about sharing

## Linked Lists vs. Arrays

• How do they compare?

	Arrays (unsorted)	Linked lists
Pros	<ul> <li>O(1) access</li> <li>built-in</li> </ul>	<ul> <li>o self-resizing</li> <li>O O(1) insertion*</li> <li>O O(1) deletion*</li> <li>* Given the right pointers</li> </ul>
Cons	<ul><li>○ fixed size</li><li>○ O(n) insertion</li></ul>	<ul> <li>O O(n) access</li> <li>O no special syntax</li> </ul>

Question to help decide which one to use:
 O Can we anticipate the size we need?
 O Do they allow us to achieve our target complexity?